

IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

ARTHUR R. ANDERSON,

Petitioner,

No. CIV 2:99-cv-1086 JAM JFM (HC)

vs.

CAL TERHUNE, et al.,

Respondents.

FINDINGS AND RECOMMENDATIONS

Petitioner is a state prisoner proceeding through counsel with an application for a writ of habeas corpus pursuant to 28 U.S.C. § 2254. Petitioner challenges on numerous grounds his 1993 conviction on two counts of first degree murder and one count of attempted murder, all accompanied by a true finding on a multiple murder special circumstance.¹ This action is proceeding on petitioner's first amended petition, filed May 5, 2004.²

¹ Petitioner was charged with capital murder. Following his conviction, both sides waived jury trial for the penalty phase and the trial court sentenced petitioner to life in prison without possibility of parole.

² Petitioner's original petition contained twenty-six grounds for relief, several of which had multiple bases contained within the specified ground. In the amended petition, petitioner has withdrawn Claims 6, 9, 10, 14 through 18, 22, 23A, B, C, and E, and 26(3), (7), (9), (11), (12), (14)-(21), and has merged Claim 5 with Claim 19. In these findings and recommendations, the claims remaining in issue are identified by the number(s) and/or letter by which they are identified in the amended petition.

FACTS³

The prosecution evidence established that this is a case of murder among friends. The murder victims, Stacy Nick and Robert Schmeisser, were friends of [petitioner] and [petitioner] described Schmeisser as his best friend. There was also ample evidence that Nick and Schmeisser were dealers in cocaine, at least to some extent. [Petitioner] was a user of cocaine and there was evidence that Schmeisser, and possibly Nick, had refused to provide him with cocaine on past occasions.

The crimes occurred on August 24, 1990. At that time [petitioner] was living with his girlfriend, Joanne Pestana, on Orchard Woods Circle. Nick lived across the street with his girlfriend, Lisa Pepin. Schmeisser had been [petitioner]'s roommate on Orchard Woods Circle but had moved to an apartment complex on Valley Hi Drive where he lived with his girlfriend, Stephanie Becker.

[Petitioner]'s whereabouts in the early afternoon of August 24 are somewhat obscure. He was employed as an electrician on a job in Fairfield and there was evidence he left the job site between 12:30 and 1:30 p.m. that afternoon. At some time he went to Schmeisser's apartment and left electrical wire in the back of Schmeisser's truck. When Pestana arrived home from work at around 4:40 or 4:45 p.m., [petitioner] was home taking a shower. When [petitioner] had finished showering and dressing he said, "So what, I am a drug addict," and walked out the door. Pestana saw [petitioner] drive away in his Datsun 280-Z, and then immediately return and park across the street. [Petitioner] got out of his car and walked toward Nick's house. Michael Cole had been visiting Nick and was leaving just as [petitioner] arrived in his car. He observed [petitioner] rummaging under his car seat. As Cole left he saw [petitioner] walking toward Nick's house carrying some sort of flat box.

Pestana assumed that [petitioner] went to Nick's house to buy drugs. She decided that after [petitioner] left she would go over and confront Nick about providing drugs to [petitioner]. She went about her household chores until she saw [petitioner] drive away. Pestana then went to Nick's door, knocked, and entered. She found Nick's body on the couch. He had been fatally shot in the head. During the autopsy a .357 Magnum caliber slug was

³ The facts are taken from the opinion of the California Court of Appeal for the Third Appellate District in People v. Anderson, No. C015632 (Apr. 9, 1996), and this court's review of the Reporter's Transcript on Appeal (RT), Volumes Five through Seventeen. A copy of the state court of appeal decision is attached as an appendix to petitioner's second petition for review to the California Supreme Court, which is included in this record as Exhibit C to Respondents' Answer to Petition for Writ of Habeas Corpus, filed March 2, 2000 (Answer).

1 recovered from Nick's head. [Petitioner] owned a .357 Magnum
2 handgun which he kept under the mattress on his bed. Deputies
3 investigating the Nick shooting asked Pestana to retrieve
4 [petitioner]'s pistol but it was missing and has never been located.

5 Schmeisser was murdered shortly after Nick was shot. It was
6 established that the distance between Nick's home and
7 Schmeisser's home was 1.8 miles and would take about five and
8 one-half minutes to drive. There was an eyewitness to the
9 Schmeisser murder. Michael Rhinehart, who lived in the same
10 apartment complex as Schmeisser, testified that he got home from
11 work around 4:15 or 4:20 p.m., and encountered Schmeisser
12 working on his truck. Rhinehart visited with Schmeisser and they
13 eventually went into Schmeisser's apartment.

14 A person that Rhinehart identified as [petitioner]⁴ came to
15 Schmeisser's door and was allowed to enter. Schmeisser made
16 introductions and Rhinehart thought he called the visitor Mark, but
17 said it could have been Art. Rhinehart observed that [petitioner]
18 was carrying something, and that he went straight into the kitchen.
19 Schmeisser asked whether [petitioner] had come to get the wire out
20 of his truck and [petitioner] said that he had. [Petitioner] said there
21 was something wrong with his .357 and Schmeisser began walking
22 toward the kitchen. [Petitioner] pulled a pistol as though he were
23 going to hand it to Schmeisser, but then held it up to his face and
24 shot him.

25 After shooting Schmeisser, [petitioner] shot at Rhinehart.
26 Fortunately, Rhinehart ducked to the floor and the shot missed.
When he realized he was not hit, Rhinehart got up and saw
[petitioner] standing over Schmeisser. As [petitioner] pointed the
gun at him again, Rhinehart ran through the bedroom and locked
himself in a bathroom. He heard a door open and close but feared
a ploy to get him to expose himself so he stayed in the bathroom.
After he heard the door open and close a second time, he grabbed a
telephone from the bedroom and retreated to the bathroom once
again. He called 911 and remained in the bathroom until the police
arrived. Rhinehart's 911 call was logged at 5:41 p.m.

A bullet slug was recovered from Schmeisser's head during the
autopsy and another was recovered from the wall of his apartment.
The condition of the bullets precluded conclusive ballistic
identification, but the slugs were consistent with the slugs
recovered from Nick's body. All of the slugs were identified as

⁴ Rhinehart identified [petitioner] from a photographic lineup and at the preliminary hearing. He testified that he was absolutely sure of his photographic identification and was very sure of his preliminary hearing identification of [petitioner]. He did not identify [petitioner] at trial. However, numerous witnesses commented on significant changes in [petitioner]'s appearance between the events in question and the trial over two years later.

being associated with Winchester silver-tipped, hollow-point, .357
Magnum caliber bullets.

[Petitioner] was arrested later that night after wrecking his car
on Eschinger Road, a rural road a few miles south of the scene of
the murders. A local resident reported that around 6 p.m. he
encountered someone in a 280-Z on Eschinger Road near a gate
that marked the entrance to a ranch owned by Fred Holthouse. The
car was blocking the road and he had to wait for it to be moved.
Later, about 11 p.m., [petitioner] approached the home of Howard
Wackman, said he had been in a car wreck, and asked to use the
telephone. He had blood on his face and a bump and red mark on
his head. Deputies called to the scene discovered that [petitioner]
had wrecked his car by running into fence posts on both sides of
the road and eventually crashing through the fence and into a cow
pasture on the Holthouse property. [Petitioner] was taken into
custody at that time.

People v. Anderson, slip op. at 2-6. Petitioner's defense consisted principally of alibi evidence
and evidence offered to suggest that one or more third parties were culpable for the murders.
Petitioner called numerous defense witnesses and he testified in his own defense.

ANALYSIS

I. I. Standards for a Writ of Habeas Corpus

Federal habeas corpus relief is not available for any claim decided on the merits in
state court proceedings unless the state court's adjudication of the claim:

(1) resulted in a decision that was contrary to, or involved an
unreasonable application of, clearly established Federal law, as
determined by the Supreme Court of the United States; or

(2) resulted in a decision that was based on an unreasonable
determination of the facts in light of the evidence presented in the
State court proceeding.

28 U.S.C. § 2254(d).

Under section 2254(d)(1), a state court decision is "contrary to" clearly
established United States Supreme Court precedents if it applies a rule that contradicts the
governing law set forth in Supreme Court cases, or if it confronts a set of facts that are materially
indistinguishable from a decision of the Supreme Court and nevertheless arrives at different

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1 result. Early v. Packer, 537 U.S. 3, 7 (2002) (citing Williams v. Taylor, 529 U.S. 362, 405-406
2 (2000)).

3 Under the “unreasonable application” clause of section 2254(d)(1), a federal
4 habeas court may grant the writ if the state court identifies the correct governing legal principle
5 from the Supreme Court’s decisions, but unreasonably applies that principle to the facts of the
6 prisoner’s case. Williams, 529 U.S. at 413. A federal habeas court “may not issue the writ
7 simply because that court concludes in its independent judgment that the relevant state-court
8 decision applied clearly established federal law erroneously or incorrectly. Rather, that
9 application must also be unreasonable.” Id. at 412; see also Lockyer v. Andrade, 538 U.S. 63,
10 123 S.Ct. 1166, 1175 (2003) (it is “not enough that a federal habeas court, in its independent
11 review of the legal question, is left with a ‘firm conviction’ that the state court was ‘erroneous.’”)

12 The court looks to the last reasoned state court decision as the basis for the state
13 court judgment. Avila v. Galaza, 297 F.3d 911, 918 (9th Cir. 2002). Where the state court
14 reaches a decision on the merits but provides no reasoning to support its conclusion, a federal
15 habeas court independently reviews the record to determine whether habeas corpus relief is
16 available under section 2254(d). Delgado v. Lewis, 223 F.3d 976, 982 (9th Cir. 2000).

17 II. Petitioner’s Claims⁵

18 Claim 1: Juror Misconduct – Extrinsic Evidence

19 Petitioner’s first claim is that several of his constitutional rights⁶ were violated

20
21 ⁵ Respondents contend that two of petitioner’s claims arising from allegations that a juror
22 slept through portions of the trial are barred by the doctrine of procedural default. For the
reasons set forth herein, the claims are without merit. The court will not reach the procedural
default defense raised as to those claims.

23 ⁶ Petitioner claims violations of his “rights to confrontation, counsel, cross-examination,
24 due process, to present a defense, fair trial, to be present during the presentation of evidence,
impartial jury, and to be judged solely on the evidence properly received at trial as guaranteed by
25 the Fifth, Sixth and Fourteenth Amendments.” Amended Petition, filed May 5, 2004, at 22.
Respondents contend that the claimed violations of petitioner rights to counsel, to present a
26 defense, to be present during presentation of evidence, and to be judged solely on the evidence
properly received at trial are procedurally defaulted because those claims were presented for the

1 when a juror consulted an almanac to determine what the weather had been on the afternoon of
 2 August 24, 1990 and reported to the jury that the temperature had reached 78 degrees and the
 3 entire day had been overcast. This claim was raised in and rejected by the state courts on
 4 petitioner's direct appeal. Exs. C and D to Answer. The last reasoned rejection of this claim is
 5 the decision of the state court of appeal, which found that the juror had committed misconduct,
 6 but rejected the claim on the ground that there was not "a substantial likelihood that the vote of
 7 one or more jurors was influenced by the extraneous matter called to their attention." People v.
 8 Anderson, slip op. at 26-27.

9 The state court of appeal set forth the relevant facts and its disposition of this
 10 claim as follows:

11 The factual basis for this [claim] was established through the
 12 declaration of Juror Harney, who declared: "That during
 13 deliberations, we discussed Jerry Gould's testimony. During the
 14 discussion of Jerry Gould's testimony, one of the jurors, told other
 jurors, that he had looked up in the Almanac, the weather
 conditions for the day of the murders. He informed the other jurors
 that it was 78 degrees and overcast."

15 The trial court found the incident to constitute juror misconduct
 16 but denied the motion for a new trial on that ground. The court

17 first time in petitioner's petition for review to the California Supreme Court, in violation of Rule
 18 28(c) of the California Rules of Court, which respondents contend "provides that, as a matter of
 19 policy, on petition for review the California Supreme Court will not consider any issue that could
 20 have been but was not timely raised in the briefs filed in the California Court of Appeal or any
 21 issue of material fact that was omitted from or misstated in the opinion of the California Court of
 22 Appeal." Aug. 3, 2004 Answer at 32. Respondents also contend that this alleged procedural
 23 default means that these claims are unexhausted. Respondents also note that the California
 24 Supreme Court's denial of petitioner's petition for review contains no statement of reasons for
 25 the decision; respondents urge the court to "assume" that the California Supreme Court relied on
 26 Rule 28(c) in rejecting these claims. In order for a claim to be barred by the doctrine of
 procedural default, a state court decision rejecting the claim must be based on a state law ground
 that is independent of federal law and adequate to support the judgment. Coleman v. Thompson,
 501 U.S. 722 (1991); Harris v. Reed, 489 U.S. 255, 260-62 (1989). Procedural default cannot be
 based on "an ambiguous order that did not clearly rest on independent and adequate state
 grounds"; the reliance on independent and adequate state law grounds for rejection of particular
 claims must be clear from the state court's decision. See Siripongs v. Calderon, 35 F.3d 1308,
 1317-1318 (9th Cir. 1994). The state supreme court's silent denial is not sufficient to support a
 finding of procedural default. A fortiori, respondents' contention that these claims are
 unexhausted is also without merit.

1 said that it could not find any significant likelihood that the
2 misconduct would have affected the jurors' decisions. The court
3 indicated an awareness that the standard is objective, that is,
whether there is any likelihood that a juror would be affected, and
said, "I can't see it here."

4 It is misconduct for a juror to receive information out of court.
5 (*People v. Holloway* (1990) 50 Cal.3d 1098, 1108.) And it is
settled that such misconduct raises a presumption of prejudice that
6 requires a new trial unless rebutted by proof that no prejudice
7 resulted. (*Ibid.*) The analysis of prejudice to be followed has been
set forth by the California Supreme Court in *People v. Marshall*
8 (1990) 50 Cal.3d 907, at pages 950 and 951, which we restate in
clarified form [footnote omitted] here. A judgment adverse to a
9 defendant in a criminal case must be reversed or vacated whenever
the court finds a substantial likelihood that the vote of one or more
10 jurors was influenced by exposure to prejudicial matter relating to
the defendant or to the case itself that was not part of the trial
11 record on which the case was submitted to the jury. The ultimate
issue of influence on the juror is resolved by reference to the
substantial likelihood test, an objective standard. In effect, the
12 court must examine the extrajudicial material and then judge
whether it is inherently likely to have influenced the juror. Such
"prejudice analysis" is different from, and indeed less tolerant than,
13 "harmless-error analysis" for ordinary error at trial. When the
misconduct in question supports a finding that there is a substantial
14 likelihood that at least one juror was impermissibly influenced to
the defendant's detriment, we are compelled to conclude that the
15 integrity of the trial was undermined; under such circumstances,
we cannot conclude that the jury was impartial. By contrast, when
16 the misconduct does not support such a finding, we must hold it
nonprejudicial. (See also *People v. Holloway*, *supra*, 50 Cal.3d at
17 pp. 1108-1109.)

18 In determining whether there is a substantial likelihood that the
vote of one or more jurors was influenced by the extraneous matter
19 called to their attention, we must consider the misconduct in light
of the entire record. (*People v. Zapien* (1993) 4 Cal.4th 929, 994.)
20 Upon consideration of the entire record in this case, we agree with
the trial court that, as an objective matter, there is not a substantial
21 likelihood here.

22 The information about weather conditions was mentioned with
respect to the testimony of Jerrie Gould. Gould's testimony was
23 quite brief, her direct testimony consuming less than four pages in
the reporter's transcript, and was offered as some corroboration for
24 the testimony of Betty Gipson, her mother-in-law. Gould testified
that on the afternoon of August 24, 1990, she saw [petitioner] at
25 Gipson's house. She was not sure of the exact time but said it was
somewhere around 4 to 6 p.m. When pressed for a more specific
26 time she said: "I don't know the time. I don't have a specific time.

1 I really don't." At the time of the murders, Gould was living in
2 Milpitas and would visit Gipson on weekends. She would have
3 left Milpitas around 12 or 1 p.m., and it would take her about two
4 hours to get to Gipson's house. At the time she saw [petitioner]
5 there she was washing her car. She added that she always waits
6 until later in the day to wash her car so it is not so hot and water
spots do not get on the car. She had no specific recollection of the
day in question but was only going by her usual custom. Gould
was not asked about the day in question until over two years later
and her memory was extremely vague with respect to virtually all
details.

7 Betty Gipson testified that [petitioner] was supposed to do some
8 free lance electrical work for her on the day after the murders, a
9 Saturday, and that he came to pick up an advance check on Friday.
10 She said that as close as she could estimate, he arrived between 5
11 and 5:30 p.m., and stayed for 15 to 20 minutes. She did not look at
12 a clock at the time, was not asked about that day until more than
13 two years later, and was drawing upon her best recollection. When
14 she was initially interviewed she said that her recollection was
15 based on the fact that [petitioner] told her he would pick up the
16 check after he got off work and that he was working out of town,
about an hour away. At trial she conceded that had been a factor in
her original thinking, but maintained that regardless of that factor
her recollection of [petitioner]'s arrival remained at between 5:00
and 5:30.⁷ When asked about Gould, Gipson said that Gould had
arrived in the afternoon and was washing her car while [petitioner]
was there. At trial Gipson agreed that it was Gould's habit to wash
her car in the late afternoon. However, in her prior interviews
Gipson had not mentioned that matter and testified that she did not
regard it as important.

17 In light of the entire record, including [petitioner]'s testimony,
18 Gipson's testimony was not an alibi with respect to the Nick
19 murder. We have previously noted the testimony of [petitioner]'s
20 live-in girlfriend, Joanne Pestana. She testified that she got off
21 work at 4, picked up her child, and arrived home between 4:40 and
22 4:45 on the afternoon of the murder. [Petitioner] was home and
23 was showering when she arrived home. When [petitioner] finished
24 showering and dressing he left in his car and then immediately
returned and stopped at Nick's house. Pestana waited for
[petitioner] to leave so she could confront Nick, and in the
meantime returned a telephone call to her insurance agent. When
[petitioner] left, Pestana went over to Nick's house and found him
fatally wounded. Pestana's employer confirmed that she got off

25 ⁷ The 5 to 5:30 arrival time at Gipson's house would be consistent with an after-work
26 visit if [petitioner] had worked until around 4, as Gipson assumed. However, the evidence
established that [petitioner] left work immediately after lunch on that day, sometime between
12:30 and 1:30.

1 work at 4 on the day of the murders, her telephone records
2 confirmed a call to her insurance agent at 5:01 p.m., and her call to
3 police after finding Nick was made at 5:21.

4 In his testimony [petitioner] admitted that he was in the shower
5 when Pestana arrived home and that he left when he finished
6 showering. He stopped to check his mailbox across the street, and
7 may have made a U-turn in order to do so. He said he may have
8 approached and knocked on Nick's door, but he maintained that he
9 did not see Nick at that time. In light of this evidence, Gipson's
10 testimony was not inconsistent with [petitioner]'s opportunity to
11 have committed the Nick murder. However, [petitioner] claimed
12 that after driving away from Nick's house he went to Gipson's
13 house to pick up a check, and thus to have lacked an opportunity to
14 have committed the Schmeisser murder. In this respect
15 [petitioner]'s testimony was impeached by evidence of his
16 interview with detectives after the murders in which he said that at
17 the time of the murders he was at a Lumberjack store, the bank,
18 and cruising the interstate, but made no mention of going to
19 Gipson's house.

20 The whole record gives rise to an inference that the same culprit
21 committed both murders. [Petitioner]'s own testimony foreclosed
22 Gipson's testimony as an alibi for the Nick murder and although
23 Pestana did not actually witness the shooting, her testimony and
24 other factors present a strong circumstantial case of [petitioner]'s
25 commission of that crime. [Petitioner] was identified as the
26 perpetrator by the eyewitness to the Schmeisser murder, which was
committed only a short time after the Nick murder.

On this record the primary value of the Gipson evidence was to
provide an alibi for the Schmeisser murder and, in view of the
likelihood that the murders were committed by the same person, to
support [petitioner]'s claim that he did not actually see Nick at the
time Pestana reported he had gone to his house. In view of this
record we agree with the trial court that the weather information
was utterly tangential and collateral. When asked, Gipson agreed
that Gould would normally wash her car in the late afternoon, but
Gipson did not purport to rely upon that fact or upon a recollection
of the weather for her estimate of the time of [petitioner]'s visit.
Gould's testimony had value only to corroborate Gipson's
testimony that [petitioner] visited on the afternoon of the murders.
Her testimony was too vague and uncertain, and her estimate of the
time was too broad and indefinite to provide alibi evidence in
itself. Moreover, Gould did not purport to remember or rely upon
the actual weather on the day of the murders in forming her
estimate of the time of [petitioner]'s visit. And, as the trial court
noted, information that the day was 78 degrees and overcast would
not specifically contradict either Gould or Gipson's testimony.

1 Several principles of federal law govern this claim. It is well-established that

2 [j]ury exposure to facts not in evidence deprives a defendant of the
3 rights to confrontation, cross-examination and assistance of
4 counsel embodied in the Sixth Amendment. Dickson v. Sullivan,
5 849 F.2d 403, 406 (9th Cir.1988). Not every constitutional error,
6 however, is grounds for reversal. On collateral review, we must
7 determine whether the constitutional error “had substantial and
8 injurious effect or influence in determining the jury's verdict.”
9 Brecht v. Abrahamson, 507 U.S. 619, 627, 113 S.Ct. 1710, 1716,
10 123 L.Ed.2d 353 (1993) (quoting Kotteakos v. United States, 328
11 U.S. 750, 776, 66 S.Ct. 1239, 1253, 90 L.Ed. 1557 (1946)). Errors
12 that do not have a “substantial and injurious effect” on the trial’s
13 outcome are deemed harmless. Bonin v. Calderon, 59 F.3d 815,
14 824 (9th Cir.1995).

15 Eslaminia v. White, 136 F.3d 1234, 1237 (9th Cir. 1998). Several factors are relevant to the
16 question of whether receipt of extraneous information had a “substantial and injurious effect” on
17 the jury’s verdict:

18 “(1) whether the material was actually received, and if so, how; (2)
19 the length of time it was available to the jury; (3) the extent to
20 which the juror discussed and considered it; (4) whether the
21 material was introduced before a verdict was reached and, if so at
22 what point in the deliberations; and (5) any other matters which
23 may bear on the issue of the reasonable possibility of whether the
24 extrinsic material affected the verdict.”

25 Sassounian v. Roe, 230 F.3d 1097, 1109 (9th Cir. 2000) (quoting Dickson, 849 F.2d at 406 (in
26 turn quoting Marino v. Vasquez, 812 F.2d 499, 506 (9th Cir. 1987). In addition, there are “other
factors that ‘might nonetheless suggest that the potential prejudice of the extrinsic information
was diminished in a particular case.’” Sassounian, at 1109 (quoting Jeffries v. Blodgett, 114
F.3d 1484, 1491 (9th Cir. 1997). “These include:

[1] whether the prejudicial statement was ambiguously phrased; [2]
whether the extraneous information was otherwise admissible or
merely cumulative of other evidence adduced at trial; [3] whether a
curative instruction was given or some other step taken to
ameliorate the prejudice; [4] the trial context; and [5] whether the
statement was insufficiently prejudicial given the issues and
evidence in the case.”

Sassounian, at 1109 (quoting Jeffries at 1491-92); see also Mancuso v. Olivarez, 292 F.3d 939,
952 (9th Cir. 2002) (discussing foregoing factors as incorporated in the fifth Dickson factor

1 requiring consideration of “any other matters which bear on the issue of the reasonable
2 possibility of whether extrinsic material affected the verdict.”) In reviewing this claim, the court
3 may properly consider “juror testimony about the consideration of extrinsic evidence” but “juror
4 testimony about the subjective effective of evidence on the particular juror” is not admissible.
5 Sassounian, at 1108.

6 The record shows that at the start of the afternoon session on December 29, 1992,
7 during Betty Gipson’s testimony, Juror Garcia raised a question with the court that led to the
8 following colloquy:

9 THE COURT: . . . I was told that one of the jurors asked the court
10 attendant a question. I think it might have been Mr. Garcia. I
11 think, as I understand the question, “Can the jurors ask questions of
12 witnesses?”; is that your question?

13 JUROR GARCIA: Not necessarily.

14 THE COURT: All right. Go ahead.

15 What is your question?

16 JUROR GARCIA: There is a lot of testimony dealing with the
17 sunset. I was wondering if there was an Almanac to find out
18 actually when the sun did set on that day.

19 THE COURT: Okay. Questions of that kind are asked from time
20 to time by jurors, and I understand why you are asking the
21 question.

22 The response is that in our system of justice the jurors have to
23 be passive finders of the fact. You just have to take the evidence
24 as it’s presented by the attorneys, and take into account the burden
25 of proof or burdens of proof and decide, as best you can, the
26 evidence as it’s presented to you.

So the answer is, I can’t – I can’t answer it. Undoubtedly there
is an Almanac. There is nothing in evidence about it at the present
time, maybe something can be proven about when the sun set or
whatever.

24 RT at 3314-15. Near the conclusion of the presentation of evidence, the parties stipulated that
25 “according to the United States Naval Observatory, sunset and sunrise in Sacramento on August
26 24, 1990 would have been . . . 6:28 a.m., and sunset would be 7:48 p.m.” RT at 4223. In

1 addition, Detective Edwards testified that the weather on August 24 and 25 was sunny. RT at
2 2932. At the end of trial, the jury was instructed that it “must determine the facts of the case
3 from the evidence received in the trial and not from any other source” and that “[a] ‘fact’ is
4 something proved directly or circumstantially by the evidence or by stipulation.” CT at 507.
5 The jury was also instructed that it

6 must decide all questions of fact in the case from the evidence
7 received in the trial and not from any other source.

8 You must not make any independent investigation of the facts
9 or the law or consider or discuss facts as to which there is no
10 evidence. For example, you must not on your own visit the scene,
11 conduct experiments, or consult reference works or persons for
12 additional information.

13 CT at 515; RT at 4415.

14 After trial, petitioner moved for a new trial based on the ground that, inter alia,
15 during deliberations while the jurors were discussing Jerrie Gould’s testimony one of the jurors
16 advised the other jurors that he had consulted an almanac and learned that the weather was 78
17 degrees and overcast on August 24, 1990. CT at 622, 635. This part of petitioner’s motion was
18 supported by a declaration by juror Betty Harney. Id. at 622, 635. Petitioner has presented a
19 second declaration by Juror Harney, which includes two paragraphs that relate to this claim. See
20 Declaration of Betty Harney, filed Oct. 2, 2002, at ¶¶ 9-10 (hereafter Second Harney
21 Declaration). Those two paragraphs are as follows:

22 9. During deliberations I and other members of the jury were
23 concerned with the accuracy of Ms. Jerry Gould’s testimony, and
24 jurors discussed how important it was for us to decide if she was
25 correct in her time estimate of when she saw the defendant at her
26 mother-in-law’s house.

27 10. On perhaps the second day of deliberations, a male juror
28 advised all the other jurors that he had looked up the weather for
29 the day in question in the Almanac, and that the it [sic] was 78
30 degrees and overcast that day. We debated how that weather
31 condition affected the validity of Gould’s time estimate of when
32 she saw Anderson, since it was based on what time of day she
33 usually washes her car to avoid spots from the sun. I understood

1 that I was not allowed to consider this weather information, but it
 2 did raised [sic] a little doubt in my mind that Gould could be
 3 wrong, since she could have washed her car any time on an
 4 overcast day. The question of reconciling the testimonies of Ms.
 Gould and Mrs. Gipson was not a minor issue; it was a difficult
 point for me, and was debated with strong views on all sides by the
 jurors.

5 Second Harney Declaration at ¶¶ 9-10. Most of the statements in these paragraphs cannot be
 6 considered by this court because they “describe the deliberative process or subjective effects of
 7 extraneous information.” Sassounian, at 1108 (citing United States v. Bagnariol, 665 F.2d 877,
 8 884-85 (9th Cir. 1981)); see Fed. R. Evid. 606(b). However, Juror Harney’s statements that
 9 “[o]n perhaps the second day of deliberations, a male juror advised all the other jurors that he had
 10 looked up the weather for the day in question in the Almanac, and that the it [sic] was 78 degrees
 11 and overcast that day,” and that the jury “debated how that weather condition affected the validity
 12 of Gould’s time estimate of when she saw Anderson, since it was based on what time of day she
 13 usually washes her car to avoid spots from the sun” are admissible and properly considered.⁸

14 There is no dispute that it was misconduct for the juror to look up weather
 15 information in an almanac and to bring that information to the attention of other jurors. The
 16 issue is whether that misconduct was prejudicial and, in turn, whether the state court’s decision
 17 that it was not is contrary to or an unreasonable application of relevant principles of clearly
 18 established federal law, or based on an unreasonable determination of the facts.

19 The juror provided two separate pieces of information about the weather on
 20 August 24, 1990, as gleaned from an almanac: that the day was overcast, and that it was 78
 21 degrees. Juror Harney’s declaration suggests that the information was actually received by the
 22 entire jury, and that it was received on the second day of deliberations. The Clerk’s Transcript
 23 shows that the jury deliberated for just over seven court days, requested several readbacks of

24
 25 ⁸ It is less clear that Juror Harney’s statement that she “understood that [she] was not
 26 allowed to consider this weather information” is admissible, though it does suggest that at least
 Juror Harney understood the trial court’s instructions in that regard.

1 testimony, none of which included Jerrie Gould or Betty Gipson's testimony, and asked the court
2 to "tell us more about reasonable doubt. What does it really mean?" CT at 563-583. The
3 weather information was provided near the start of deliberations, which continued for at least five
4 days thereafter before a verdict was reached. Cf. Sassounian, at 1110 (timing of discussion of
5 improper information deemed "critical" where jury discussed improper information after fifteen
6 days of deliberation and after asking judge what to do if it could not agree, and reached verdict
7 one hour later). While Juror Harney's declaration suggests that the jury discussed the
8 information, it sheds no light on how much time was spent on those discussions. Thus, the court
9 examines the factors considered to determine whether there were "any other matters which may
10 bear on whether the introduction of extrinsic material substantially and injuriously affected the
11 verdict." Mancuso v. Olivarez, 292 F.3d 939, 952 (9th Cir. 2002).

12 The information that the day was overcast contradicted Detective Edwards'
13 testimony that the day was sunny and was not cumulative of any evidence offered at trial. Both
14 the trial judge's response to Juror Garcia's question and the specific jury instruction given as part
15 of the overall charge to the jury informed the jury that it could not consider such extraneous
16 information, but no curative instruction was given after the almanac information was provided.
17 The state court's decision rejecting this claim was based, essentially, on its conclusion that the
18 evidence was "insufficiently prejudicial in light of all the other issues and evidence in the case,"
19 Sassounian, at 1109 (quoting Jeffries, at 1492), the final inquiry in the determination of matters
20 that bear on prejudice. The state court's conclusions in this regard are not based on an
21 unreasonable determination of the facts.

22 In order to obtain relief in these federal habeas corpus proceedings, the state
23 court's decision "must be more than incorrect or erroneous. . . . [It's] application of clearly
24 established law must be objectively unreasonable." Lockyer v. Andrade, 538 U.S. at 75. After
25 review of the record and the factors relevant to a determination of whether the juror misconduct
26 was prejudicial, this court cannot find that the state court's determination that the misconduct

1 was not prejudicial is unreasonable. For that reason, petitioner's first claim for relief must be
2 denied.

3 Claim 2: Erroneous Jury Instruction

4 Petitioner's second claim for relief is that his right to due process was violated by
5 the instruction provided to the jury on murder by lying in wait. The trial court instructed the jury
6 with a slightly amended version of CALJIC 8.25, as follows:

7 Murder which is immediately preceded by lying in wait is murder
8 of the first degree. "Lying in wait" is defined as waiting and
9 watching for an opportune time to act, together with a concealment
10 by ambush or some other secret design to take the other person by
11 surprise [even though the victim is aware of the murderer's
12 presence]. The lying in wait need not continue for any particular
13 period of time provided its duration is such as to show a state of
14 mind equivalent to premeditation and deliberation.

15 RT at 4432-4433.⁹ At all times relevant to this action, California law included in the definition
16 of first degree murder any "murder which is perpetrated by means of . . . lying in wait." Cal.
17 Penal Code § 189. Petitioner contends that the phrase "by means of" denotes a causal connection
18 between lying in wait and murder, that this nexus is an essential element of lying in wait murder,
19 and that omission of this language from CALJIC 8.25 and, instead, use of the phrase
20 "immediately preceded by lying in wait," relieved the prosecution of its obligation to prove this
21 essential element in violation of petitioner's rights under the federal due process clause.

22 Amended Petition at 26; Traverse to Amended Petition filed Nov. 4, 2004 (Traverse) at 19-20.

23 This claim was raised in and rejected by the state courts on petitioner's direct
24 appeal. Exs. C and D to Answer. The last reasoned rejection of this claim is the decision of the
25 state court of appeal, which rejected the claim on the ground that the challenged jury instruction
26

⁹ The trial court initially read the last sentence as follows: "The lying in wait need not continue for particular period of time provided that its duration is such as to show a state of mind equivalent to premeditation or deliberation." RT at 4432. After a sidebar conference, the court re-read the last sentence and changed the last phrase to "premeditation and deliberation." *Id.* at 4433. In CALJIC 8.25, the last sentence is phrased in the disjunctive rather than in the conjunctive. See People v. Anderson, slip op. at 63 n.16.

1 had been approved by the California Supreme Court and the appellate court “was not at liberty to
2 reject the holding of our Supreme Court.” People v. Anderson, slip op. at 63.

3 On federal habeas corpus review, this court is bound by the decision of the state
4 courts on questions of state law. Estelle v. McGuire, 502 U.S. 62, 67-68 (1991). The definition
5 of the elements of a state criminal offense is a question of state law. See Jackson v. Virginia, 443
6 U.S. 307, 324 n.16 (1979); see also Stanton v. Benzler, 146 F.3d 726, 728 (9th Cir. 1998).

7 Under California law, first degree murder is the unlawful killing of a human being
8 in a willful, deliberate, and premeditated manner. See People v. Anderson, 70 Cal.2d 15, 24
9 (1968). California Penal Code § 189 provides in relevant part that “[a]ll murder which is
10 perpetrated by means of ... poison, lying in wait, torture, or by any other kind of willful,
11 deliberate, and premeditated killing, ... is murder of the first degree....”¹⁰ Under this section of
12 the California Penal Code, lying in wait is “a substitute for premeditation and deliberation when
13 determining whether a murder is a first-degree murder. That is, . . . , if a person lies in wait to
14 kill another, California treats him as having the equivalent of premeditation and deliberation.”
15 Morales v. Woodford, 388 F.3d 1159, 1177 (9th Cir. 2004).

16 [T]he theory of first degree murder by means of lying in wait does
17 not require the intent to murder the victim, but rather, “the intent to
18 watch and wait for the purpose of gaining advantage and taking the
19 victim unawares in order to facilitate the act which constitutes
20 murder.” People v. Laws, 12 Cal.App.4th 786, 15 Cal.Rptr.2d 668,
21 674 (1993). Moreover, the lying in wait need not continue for any
particular period of time, provided that its duration is such as to
show a state of mind equivalent to premeditation or deliberation.
People v. Ruiz, 44 Cal.3d 589, 244 Cal.Rptr. 200, 212, 749 P.2d
854, 867, cert. denied, 488 U.S. 871, 109 S.Ct. 186, 102 L.Ed.2d
155 (1988); Laws, 12 Cal.App.4th at 795, 15 Cal.Rptr.2d at 675.

22 Calderon v. Prunty, 59 F.3d 1005, 1008-09 (9th Cir. 1995). In other words, under California law,
23 evidence of lying in wait is one way that the mens rea requirement of first degree murder can be

24
25 ¹⁰ At least one California court of appeal has noted that the statutory requirement that
26 murder be “perpetrated by means of” lying in wait is “perhaps imperfectly phrased since lying in
wait, unlike torture or poison, cannot itself cause death.” People v. Berberena, 209 Cal.App.3d
1099, 1103 n.2 (Cal.App. 1 Dist. 1989).

1 proved. Given the foregoing principles of state law, the use of CALJIC 8.25 at petitioner's trial
2 did not unconstitutionally shift the prosecution's burden of proof on any element of the charges
3 again petitioner. The state courts' rejection of this claim was neither contrary to, nor an
4 unreasonable application of, controlling principles of federal law. This claim should be denied.

5 Claim 3: Juror Misconduct

6 By his third claim for relief, petitioner contends that his constitutional rights to
7 due process, a fair trial, and an impartial jury were violated by two separate instances of juror
8 misconduct. In the first part of claim 3, petitioner contends that his constitutional rights were
9 violated by a juror who formed and expressed an opinion concerning petitioner's guilt early in
10 the trial proceedings. In the second part of claim 3, petitioner contends that his constitutional
11 rights were violated when jurors concealed during voir dire personal experiences with cocaine
12 use and when the jurors discussed during deliberations personal knowledge of the effects of
13 cocaine.

14 Claim 3A: Prejudgment of Guilt

15 By claim 3A, petitioner contends that his constitutional rights to due process, a
16 fair trial, and an impartial jury were violated by the misconduct of Juror Vohland, who allegedly
17 formed and expressed an opinion of petitioner's guilt early in the trial proceedings. This claim
18 was raised in and rejected by the state courts on petitioner's direct appeal. Exs. C and D to
19 Answer. The last reasoned rejection of this claim is the decision of the state court of appeal,
20 which set forth the relevant facts as follows:

21 The [trial] court conducted an evidentiary hearing with respect
22 to the alleged misconduct of Vohland. [Citation omitted.] At that
23 hearing Susan Turek, who was an alternate juror in the case,
24 testified that early in the trial, during the testimony of Joanne
25 Pestana, Vohland made a statement to her. They were sitting at a
26 table in the cafeteria when Vohland leaned forward and stated that
'[i]t was a shame of what we had to go through since they already
had an eyewitness to the murder.' The statement made Turek

1 extremely uncomfortable and she got up and left.¹¹ She did not
2 report the incident at the time because it had no impact upon her
3 view of the case and she concluded it was not a violation of the
4 laws of the court.

5 Vohland testified that he recognized Turek but did not recall
6 having a discussion with her in the cafeteria. He denied making
7 the statement attributed to him by Turek, and said that he could not
8 imagine making such a statement because he did not feel that way.
9 He said that he kept an open mind throughout the trial and that his
10 concern for making a correct decision bothered him so much that
11 during the trial he would wake up prematurely, half ill with worry,
12 because he did want to make a mistake. He said that he understood
13 and agreed with the presumption of innocence and added that he
14 would have loved to find for the [petitioner]. [Footnote omitted.]
15

16 In ruling on the motion for a new trial the trial court said that
17 with respect to the question whether a statement was made, it
18 found Turek to be the more credible witness. Although the court
19 did not believe Turek remembered the exact words, it found that
20 Vohland made a statement similar to that reported by Turek. The
21 court found the statement to have been misconduct. However, the
22 court denied the motion for a new trial on that ground. It found the
23 statement to have been equivocal or ambiguous. It did not
24 manifest that Vohland had firmly arrived at a fixed decision about
25 guilt. The court accepted Vohland's denials that he had done so
26 and his statements that he had agonized over the case, and the court
did not believe that he had made up his mind in any kind of firm
way at that particular point.

16 People v. Anderson, slip op. at 31-33.

17 The state court of appeal rejected petitioner's claim that his constitutional rights
18 were violated because Juror Vohland's statement showed that he had prejudged the case, as
19 follows:

20 We agree with the trial court that the isolated statement
21 attributed to Vohland is not a statement of such character that it
22 constitutes incontrovertible evidence that he did [prejudge the
case]. In ruling on the new trial motion the trial court had the

23 ¹¹ There was no conversation between Turek and Vohland and there were no other
24 statements by Vohland. Thus, the statement attributed to Vohland was isolated and lacking in
25 context or development to give it meaning. The trial court permitted Turek to testify to her
26 impressions for the limited purpose of explaining her subsequent conduct. She felt that Vohland
was indicating that if there was an eyewitness to the murder the individual was guilty, and that he
had the impression that Pestana was an eyewitness. Turek's impressions were properly excluded
for the purpose of establishing what Vohland meant.

1 opportunity to consider its observations of Vohland throughout the
 2 trial and during his testimony on the motion for a new trial and we
 3 will defer to the trial court's observations and credibility
 4 determinations as long as they are supported by substantial
 5 evidence. [Citations omitted.] Vohland's testimony, which was
 6 accepted by the trial court, is sufficient to establish that he did not
 7 prejudice the case and thus to rebut the presumption of prejudice
 8 arising from this incident.

9 Id. at 35.

10 "The Sixth Amendment guarantees criminal defendants a verdict by impartial,
 11 indifferent jurors." Dyer v. Calderon, 151 F.3d 970, 973 (9th Cir. 1998). "[T]he presence of a
 12 biased juror introduces a structural defect not subject to harmless error analysis." Id. at 973 n.2
 13 (citing Arizona v. Fulminante, 499 U.S. 279, 307-10 (1991)).¹² "The determination of whether a
 14 juror is actually biased is a question of fact." Fields, at 1193 (citing Dyer, at 973). Where, as
 15 here, petitioner is proceeding exclusively on the record before the state courts the challenge to
 16 this factual question is "reviewed under § 2254(d)(2); the question on review is whether an
 17 appellate panel, applying the normal standards of appellate review, could reasonably conclude
 18 that the finding is supported by the record." Lambert v. Blodgett, 393 F.3d 943, 978 (9th Cir.
 19 2004).

20 After review of the record, this court finds that the state court's factual findings
 21 that Juror Vohland's statement was "equivocal or ambiguous" and that Juror Vohland did not
 22 prejudice the case are supported by the record, as is the state courts' conclusion that Juror
 23 ////

24 ¹² Juror bias may be analyzed "under two theories, actual bias and implied bias." Fields
 25 v. Brown, 431 F.3d 1186, 1193 (9th Cir. 2005). "Actual bias is "bias in fact'-the existence of a
 26 state of mind that leads to an inference that the person will not act with entire impartiality."
United States v. Gonzalez, 214 F.3d 1109, 1112 (9th Cir.2000) (quoting United States v. Torres,
 128 F.3d 38, 43 (2d Cir.1997))." Id. at 1194. Implied bias, on the other hand, is bias "implied in
 'those extreme situations where the relationship between a . . . juror and some aspect of the
 litigation is such that it is highly unlikely that the average person could remain impartial in his
 deliberations under the circumstances.'" Fields, at 1194 (quoting Fields v. Woodford, 309 F.3d
 1095, 1105 (9th Cir. 2002)). The claim at bar presents a question of actual bias.

Vohland was not actually biased.¹³ Put another way, the court finds that an appellate panel, applying normal standards of appellate review, could reasonably conclude that the foregoing findings are supported by the record. See Lambert, *id.* The state courts' factual finding that Juror Vohland was not actually biased is therefore presumed correct. Given the fact, supported by the record, that Juror Vohland was not actually biased, petitioner's Sixth Amendment claim should be denied.

Claim 3B: False Denials of Cocaine Use

Petitioner also claims that his rights to due process, a fair trial and an unbiased jury were violated when jurors failed to disclose, during voir dire, personal experience with drug use and when jurors discussed, during deliberations, personal knowledge of the effects of cocaine.¹⁴ This claim was raised in and rejected by the state courts on petitioner's direct appeal. Exs. C and D to Answer. The last reasoned rejection of this claim is the decision of the state court of appeal, which set forth the facts relevant to this claim as follows:

During voir dire the court questioned prospective jurors about whether they had ever been personally affected by cocaine abuse, by which the court explained that it meant "have you had a problem with cocaine abuse or has someone close to you had a

¹³ Petitioner's statement in the traverse that "[t]he trial judge here never found that Vohland was credible in any of his testimony" is contradicted by the record. In ruling, the trial judge specifically stated that he "found no reason to disbelieve [Vohland] if he said that he agonized over the case. He said that he, although he admitted going into the jury room with a predisposition, he said that he – his great hope was to find a way to find Mr. Anderson not guilty. I don't see any reason to disbelieve that." RT at 5236.

¹⁴ Petitioner also claims that his right to exercise peremptory challenges was violated by the events related to this claim. Respondents contend that petitioner has failed to exhaust this aspect of the claim and that it is procedurally defaulted because it is now time-barred under California law. Petitioner contends that he exhausted the claim by raising it on direct appeal and in his second petition for review to the California Supreme Court. Contrary to petitioner's assertion, Claim III(B) of his second petition for review does not expressly include a contention that the relevant events violated a right to exercise peremptory challenges. See Ex. C to Answer, at i and 13. In any event, the United States Supreme Court has held that "there is nothing in the Constitution of the United States that requires the Congress to grant peremptory challenges to defendants in criminal cases; trial by an impartial jury is all that is secured." Stilson v. U.S., 250 U.S. 583, 586 (1919). See also Ross v. Oklahoma, 487 U.S. 81, 88 (1988). There is no separate constitutional right to exercise peremptory challenges.

1 problem with cocaine abuse, so that it has affected your life, a
2 child, a friend, a spouse, a boyfriend, a girlfriend?" Ten of the
jurors who ultimately decided the case answered negatively.

3 In response to the trial court's questioning, two of the jurors
4 who ultimately sat on the case gave answers that led to further
inquiry. Juror Garcia said that he did not use drugs but had been
5 personally affected by friends and people he knew who used drugs.
He explained that he grew up in a bad neighborhood and after high
6 school many of his friends and acquaintances began using drugs.
Some of them were killed in crimes of violence and others died of
7 overdoses. He said that he would know when his friends and
acquaintances were using drugs, adding, "I been around. I can
8 tell." Juror Mullen said that she had never been personally affected
by cocaine but that four or five of her social friends had abused
cocaine for prolonged periods in the past.

9 [Petitioner]'s claim of jury misconduct is based upon a
10 declaration of Juror Obayashi. In that declaration the juror said
that during deliberations he had concerns about the effects of
11 cocaine on a person and that other jurors offered information from
past personal experiences with cocaine abuse. The trial court
12 denied the motion for a new trial on this ground. The court said
that perhaps there was a problem with the wording of the voir dire
13 inquiry, but that some past usage of cocaine would not necessarily
require a prospective juror to answer that he or she had a problem
14 with cocaine abuse. The court said that in questioning the jurors it
specifically did not want to ask whether they had ever used
15 cocaine, and thus compel them to incriminate themselves, but
rather sought more general information about cocaine abuse and its
16 affect on their lives. The court also noted that the mere mention
during deliberations of matters of common knowledge, such as the
17 manner in which cocaine may affect a person, could not have
influenced even a single juror.

18
19 People v. Anderson, slip op. at 40-41.

20 The state court of appeal rejected petitioner's claim as follows:

21 We agree with the trial court that Obayashi's declaration does not
22 establish that any juror concealed information on voir dire. Any
use of cocaine could constitute "abuse" to Obayashi, but the voir
23 dire questioning did not require prospective jurors to disclose mere
prior usage. And consistent with Obayashi's declaration, "past
24 personal experiences" could mean personal observation of the
effects of cocaine on others, but the voir dire questioning did not
25 require prospective jurors to disclose such experiences. And
Obayashi's declaration did not identify who offered information
26 about the effects of cocaine, it simply said "other jurors." As we
have noted, two of the jurors disclosed sufficient experience with

1 other persons who used cocaine to have been the source of that
 2 information. Obayashi's declaration provides too little information
 3 upon which to base the speculative claim that members of the jury
 4 intentionally concealed information during jury selection.

5 We also agree with the trial court's conclusion that the mention
 6 by some jurors of the effects of cocaine does not warrant a new
 7 trial. In the trial court's words, "The suggestion here implicit in
 8 Mr. Obayashi's declaration is that jurors may have said that
 9 cocaine releases inhibitions or lowers inhibitions and people
 10 behave differently when they are under the influence of cocaine. I
 11 can't see that as anything other than common knowledge. You
 12 don't have to use cocaine to know that in 1993." In *People v.*
 13 *Fauber* (1992) 2 Cal.4th 792, at pages 838 and 839, the Supreme
 14 Court rejected a claim that a new trial was required because some
 15 jurors related information about the effects of drugs. The court
 16 noted that jurors bring to their deliberations knowledge and beliefs
 17 about general matters of law and fact that find their source in
 18 everyday experience and that it is not possible to expect a jury to
 19 be completely sterilized and freed from any external factors. "To
 20 say, for example, that the memory of some of the witnesses may
 21 have been affected by drugs is to say no more than the common
 22 knowledge that ingestion of drugs affects perception. Jurors
 23 cannot be expected to shed their backgrounds and experiences at
 24 the door of the deliberation room. Defendant does not persuade us
 25 that any of the jurors' statements could adversely have affected the
 26 verdict." (2 Cal.4th at p. 839.) We find a similar result appropriate
 here, particularly in light of the dearth of specific detail in the
 Obayashi declaration.

16 Id. at 42-43.

17 The following principles of federal law are relevant to this claim:

18 To obtain a new trial on account of a juror's failure to disclose
 19 information during voir dire, "a party must first demonstrate that a
 20 juror failed to answer honestly a material question on voir dire, and
 21 then further show that a correct response would have provided a
 22 valid basis for a challenge for cause." McDonough [Power Equip.,
Inc. v. Greenwood], 464 U.S. [548] at 556 [1984]. As we
 23 explained in Dyer, it follows from McDonough that "an honest yet
 24 mistaken answer to a voir dire question rarely amounts to a
 25 constitutional violation; even an intentionally dishonest answer is
 26 not fatal, so long as the falsehood does not bespeak a lack of
 impartiality." Dyer, 151 F.3d at 973 (citing McDonough, 464 U.S.
 at 555-56, 104 S.Ct. 845).

25 Fields v. Brown, 431 F.3d 1186, 1192 (9th Cir. 2005). In addition,

26 /////

[t]he Sixth Amendment inquiry in the context of outside influence on a jury is fact-specific. Among other things, it requires a reviewing court to determine whether the particular materials that a juror brought into the jury room are extraneous materials, or are merely “the kind of common knowledge which most jurors are presumed to possess.” Rodriguez v. Marshall, 125 F.3d 739, 745 (9th Cir.1997), *overruled on other grounds by* Payton v. Woodford, 299 F.3d 815, 828-29 & n. 11 (9th Cir.2002) (en banc); *see also* Grotemeyer v. Hickman, 393 F.3d 871, 878-79 (9th Cir.2004) (stating that a juror’s sharing her own experience as a physician with the jury is not extrinsic evidence); United States v. Bagnariol, 665 F.2d 877, 888 (9th Cir.1981) (discounting claim of prejudice where extraneous information was something “any reasonable juror already knew”).

Fields v. Brown, 503 F.3d 755, 778-79 (9th Cir. 2007).

The Obayashi declaration reads in relevant part:

I, SHIGERU L. OBAYASHI, declare:

...

2. That during the jury deliberations, I had concerns regarding the affects [sic] of cocaine on a person once he had consumed it. My concerns were addressed by other jurors, wherein they offered information from their past personal experiences with cocaine abuse. [I couldn’t resolve, in my mind, why Michael Rhinehart was left alive by the shooter. I felt that the shooter was under the influence of cocaine, but couldn’t justify his actions at the Schmeisser’s apartment.] Once I heard how cocaine affects a person, [I was able to rationalize that the shooter couldn’t think clearly and he left Mr. Rhinehart alive].

Clerk’s Transcript on Appeal (CT) at 643.¹⁵

As the state court of appeal found, “Obayashi’s declaration did not identify who offered information about the effects of cocaine, it simply said ‘other jurors.’” People v. Anderson, slip op. at 42. It “provides too little information upon which to base the speculative claim that members of the jury intentionally concealed information during jury selection.” Id.

¹⁵ The sections in brackets were stricken from the record by the state trial court pursuant to California Evidence Code § 1150. See RT at 5121-22. That section, like Fed. R. Evid. 606(b), precludes evidence of the mental processes of jurors. See Estrada v. Scribner, 512 F.3d 1227, 1237 n.10 (9th Cir. 2008).

Nor is the declaration sufficient to suggest that the information offered by the “other jurors” went beyond the scope of a juror’s personal experience which may permissibly be brought to bear in jury deliberations; such experiences may be discussed during deliberations as long as they do not include “personal knowledge regarding the parties or the issues involved in the litigation that may affect the verdict.” U.S. v. Navarro-Garcia, 926 F.2d 818, 821 (9th Cir. 1991). The state court’s rejection of this claim was neither contrary to nor an unreasonable application of clearly established federal law. This claim should be denied.

Claim 4: Involuntary Statements and Lack of Waiver of Miranda Rights

Petitioner’s fourth claim is that his rights under the Fifth and Sixth Amendments were violated by the admission at trial of involuntary statements made by petitioner to police after his arrest and without a valid waiver of his rights under Miranda v. Arizona, 384 U.S. 436 (1965). This claim was raised in and rejected by the state courts on petitioner’s direct appeal. Exs. C and D to Answer. The last reasoned rejection of this claim is the decision of the state court of appeal, which set forth the facts relevant to this claim as follows:

After his arrest [petitioner] was interviewed by detectives from the city police department and the county sheriff’s office. In the interview [petitioner] did not confess to the crimes or otherwise admit participation in the shootings. In fact, he repeatedly denied being involved. He did, however, make some statements that would later prove to have evidentiary value. For example, he admitted visiting the homes of both murder victims during the afternoon, although not in the time span surrounding the killings. He said that he had left some wire in Schmeisser’s truck, which was consistent with Rhinehart’s statement that Schmeisser asked the killer about the wire in his truck. He admitted that he owned a .357 Smith and Wesson handgun. When asked about his whereabouts at the approximate time of the killings, he said that he had gone to a Lumberjack store, stopped at a bank to make a deposit but had not done so because he had no deposit slips with him, and had then cruised the interstate toward Galt before getting in the accident. This would prove to be inconsistent with the alibi evidence offered at trial.¹⁶

¹⁶ . . . , there was evidence that at the time of the murders [petitioner] had agreed to do a free lance electrical job for Betty Gipson, who lived in Wilton. Gipson testified that one the afternoon of the murders, [petitioner] visited her home to pick up an advance payment and that

Prior to trial [petitioner] moved to suppress his postarrest statements. Part, but not all, of the interview was suppressed.

People v. Anderson, slip op. at 13-14.

A. Involuntary Statements

Petitioner's first contention is that his post-arrest statements were involuntary "due to a combination of factors which weakened his ability to withstand the pressures of interrogation including the retrograde memory loss and other impairment caused by the concussion petitioner suffered shortly before his arrest, the effects of cocaine intoxication and withdrawal, the timing of the interrogation on the early morning hours when petitioner was deprived of sleep, his physical pain and injuries, his emotionally distraught state and the officers' interrogation tactics in taking advantage of these circumstances." Traverse at 49. This claim was raised in and rejected by the state courts on petitioner's direct appeal. Exs. C and D to Answer. The last reasoned rejection of this claim is the decision of the state court of appeal, which rejected it as follows:

[Petitioner] first asserts that his statements were involuntary in the traditional sense, that is, that they were the result of police coercion. In resolving this issue the basic question is whether influences brought to bear on the [petitioner] were such as to overbear his will to resist and bring about a statement that was not freely self-determined. (*People v. Kelly* (1990) 51 Cal.3d 931, 952.) Conduct of the police that may be considered coercive will result in suppression where it is the proximate or motivating cause of the defendant's statements. (*Id.* at pp. 952-953; see also *People v. Benson* (1990) 52 Cal.3d 754, 778-779.) In determining whether the defendant's will was overborne, we must consider all of the surrounding circumstances, including the characteristics of the defendant and the details of the interrogation. (*People v. Thompson, supra*, 50 Cal.3d at p. 166.)

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she though he had arrived between 5 and 5:30 p.m. In his trial testimony [petitioner] said that he was at Gipson's house around 5 p.m. on the day of the murders. However, in his statements after his arrest [petitioner] described various things he had been doing at the time of the murders, such as going to Lumberjack, going to the bank, and cruising the interstate, but he did not claim to have been at Gipson's house.

1 [Petitioner] was not youthful, uneducated, or mentally deficient.
 2 At the time of the murders he was 29 years old, said that he had
 3 attended college for three years studying business finance and
 4 traffic safety, and the transcripts of the police interview as well as
 5 his testimony at the suppression hearing reveals a reasonably
 6 intelligent and articulate person. Both the transcript of the
 7 interview and [petitioner]'s testimony at the suppression hearing
 reflect a person who is not particularly susceptible, and is in fact
 quite resistant, to official coercion. This may be highlighted by
 instances at the suppression hearing in which he successfully
 refused to answer questions put to him by the prosecutor.¹⁷ It is
 against this background that we must consider [petitioner]'s
 specific assertions of coercion.

8 [Petitioner] first asserts that he had been injured in the car
 9 accident but that in complete disregard for basic human decency
 the officers did not take him for treatment until after the interview.
 10 The fact that an arrestee suffered injury and may have been in some
 pain during an interview does not automatically require
 11 suppression of his statements. (*In re Walker* (1974) 10 Cal.3d 764,
 777.) [Petitioner] had suffered some injuries in the accident,
 12 including a bump on the head and one or more cuts on his lip and
 chin. However, the interrogating officers testified that [petitioner]
 13 was offered medical assistance but that he refused it. He said he
 was in a little bit of pain but was all right. The transcript of the
 14 interview reflects that [petitioner] was asked about his injuries and
 gave answers such as: "I feel a little banged up, but I've got most
 15 of my (inaudible)"; and "It hurts, but it ain't like, you know." In
 light of the whole record, this factor does not compel suppression
 of the interview.

16 [Petitioner] next asserts that he was probably suffering from
 17 cocaine withdrawal. Evidence that officers took advantage of an
 addict's withdrawal symptoms would be a factor indicating that a
 18 statement was not free and voluntary. (See *People v. Terry* (1974)
 38 Cal.App.3d 432, 438.) However, here there was no evidence
 19 that [petitioner] was suffering from withdrawal symptoms. He
 admitted he was a cocaine user, and said he had taken cocaine
 20 earlier in the day. But despite specific questioning he refused to
 say that the grip of the drug influenced his action. And during his
 21 testimony at the suppression hearing he did not claim that his

22
 23 ¹⁷ At one point in the cross-examination, the prosecutor asked [petitioner] whether he
 24 was at the home of Howard Wackman before his arrest and [petitioner] refused to answer. His
 25 counsel then successfully objected as beyond the scope of direct examination. The prosecutor
 26 asked whether [petitioner] had looked in a mirror (at his injuries) before getting to the sheriff's
 office and, when [petitioner] refused to answer, asked the court to direct [petitioner] to answer.
 The court told [petitioner] to answer the question but he still refused. On the prosecutor's motion
 to strike [petitioner]'s direct testimony defense counsel asserted that the question was beyond the
 scope of the direct examination, and the court agreed and denied the motion to strike.

1 participation in the interview was influenced by either his earlier
2 use of cocaine or the effect of his habit. This alleged factor does
not support suppression of the interview.

3 [Petitioner] asserts that since the interview took place after 2
4 a.m., it may be inferred that he needed sleep. However, on this
record whether [petitioner] may have needed sleep and the possible
5 effect that may have had on his free will is entirely speculative.
[Petitioner] asserts that he was in shock at the news of his two
6 friends' deaths. But nothing in the transcript of the interview or
the suppression hearing indicates that the officers somehow took
7 advantage of that fact through implied threats or promises in order
to obtain [petitioner]'s participation in the interview. [Petitioner]
8 asserts that he sat alone for a long time before the interview began.
In fact, the record indicates that [petitioner] was alone in the
9 interview room for approximately 22 minutes, which cannot be
considered a lengthy period of time under the circumstances.
10 Neither that time period nor the length of the interview can be
considered inherently coercive. (See *People v. Maestas* (1987) 194
11 Cal.App.3d 1499, 1505.) [Petitioner] claims that when he
eventually invoked his right to an attorney the officers ignored it.
12 In fact, the interview was suppressed from the point at which
[petitioner] said he wanted an attorney, and this subsequent
13 conduct of the officers logically could not have coerced
[petitioner]'s earlier decision to participate in the interview.
[Footnote omitted.]

14 [Petitioner] asserts that the officers told him they knew he was
15 guilty and lied to him about the strength of the evidence. The
officers told [petitioner] they knew he committed the shootings,
16 which under the circumstances was not at all unreasonable. They
also told [petitioner] they had an eyewitness to the Schmeisser
17 shooting, which was true. Eventually they said that they had an
"eyeball" witness to the Nick shooting, who saw [petitioner] go
18 into the house, shoot Nick for no reason, and leave in his car. That
statement was false to the extent it claimed that the witness
19 actually saw the shooting. However, numerous decisions confirm
that deception does not compel suppression. (*People v. Thompson*,
20 *supra*, 50 Cal.3d at p. 167; *In re Walker*, *supra*, 10 Cal.3d at p.
777; *People v. Watkins* (1970) 6 Cal.App.3d 119, 124.) Deception
21 may be a factor when coupled with improper promises or threats.
(*People v. Thompson*, *supra*, 50 Cal.3d at p. 167; *People v. Hogan*
22 (1982) 31 Cal.3d 815, 840-841.) But that did not occur here.

23 When considered in the light of the totality of the
circumstances, we find that none of the factors asserted by
24 [petitioner] singly or together establish that his participation in the
interview was coerced and we reject his contention that his
25 statements were involuntary in the traditional sense.

26 People v. Anderson, slip op. at 14-19.

Petitioner contends that the state appellate court's factual findings underlying its rejection of this claim are unreasonable because the court (1) did not watch the videotape of petitioner's interrogation; (2) placed the burden of proving involuntariness on petitioner; and (3) failed to address the totality of the circumstances. Petitioner also contends that the findings were unreasonable in light of the evidence concerning the circumstances attendant to the interrogation.

The Fifth Amendment, made applicable to the states through the Fourteenth Amendment, commands that no person "shall be compelled in any criminal case to be a witness against himself." U.S. Const. amend. V. *See also* Malloy v. Hogan, 378 U.S. 1, 6, 84 S.Ct. 1489, 12 L.Ed.2d 653 (1964). The Fifth Amendment protects against involuntary statements obtained by state coercion. *See, e.g.,* Rogers v. Richmond, 365 U.S. 534, 540-41, 81 S.Ct. 735, 5 L.Ed.2d 760 (1961). Voluntariness is considered in light of the totality of the circumstances. *See, e.g.,* Haynes v. Washington, 373 U.S. 503, 513, 83 S.Ct. 1336, 10 L.Ed.2d 513 (1963). Specifically, we consider whether "the government obtained the statement by physical or psychological coercion or by improper inducement so that the suspect's will was overborne." United States v. Leon Guerrero, 847 F.2d 1363, 1366 (9th Cir.1988). *See also* Hutto v. Ross, 429 U.S. 28, 30, 97 S.Ct. 202, 50 L.Ed.2d 194 (1976) (per curiam).

Beatty v. Stewart, 303 F.3d 975, 992 (9th Cir. 2002). "A statement is involuntary if it is 'extracted by any sort of threats or violence, [or] obtained by any direct or implied promises, however slight, [or] by the exertion of any improper influence.'" U.S. v. Leon Guerrero, 847 F.2d at 1366 (quoting Hutto v. Ross, 429 U.S. at 30 (internal quotation omitted)). In determining whether a defendant's statements were voluntary the court looks at the totality of circumstances. *See* Doody v. Schriro, 548 F.3d 847, 858 (9th Cir. 2008) (quoting Dickerson v. U.S., 530 U.S. 428, 434 (2000) and Schneckloth v. Bustamonte, 412 U.S. 218, 226 (1973)). "The assessment of the totality of the circumstances may include consideration of the length and location of the interrogation; evaluation of the maturity, education, physical and mental condition of the defendant; and determination of whether the defendant was properly advised of his Miranda rights." Doody, at 859 (citing Withrow v. Williams, 507 U.S. 680, 693-94 (1993)).

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1 This court has reviewed the videotape of petitioner's interrogation by Officers Lee
 2 and Edwards, which occurred in the early morning hours of August 25, 1990 following
 3 petitioner's arrest. The videotape shows petitioner sitting by himself with his right arm
 4 handcuffed to a table for approximately 22 minutes and 30 seconds. At the end of that time, an
 5 officer entered the room and released petitioner from the handcuffs. Petitioner stood for a few
 6 minutes, then sat down again. He was by himself for a few more minutes before the officers
 7 returned and the interrogation began.¹⁸ He remained free of handcuffs during the interrogation,
 8 which lasted approximately forty-five minutes.

9 At the start of the interrogation, the officers asked petitioner if he felt "okay, with
 10 the exception of that cut on you [sic] lip?" CT at 382. Petitioner replied that he felt "a little
 11 banged up" but that he had "most of his senses."¹⁹ The officers inquired about additional
 12 injuries, and petitioner indicated he had a "pretty hefty knot" on his head and that "under my
 13 chin's busted too." On the videotape, petitioner appears lucid and not in any medical distress,
 14 although he occasionally blows his nose and appears to check his lip for blood. In its June 5,
 15 1998 order denying petitioner's December 6, 1994 petition for writ of habeas corpus, the
 16 Sacramento County Superior Court described the videotaped interrogation as follows:

17 The petitioner can be observed on the tape for at least one hour.
 18 The tape shows him before, during, and after the interview. There
 19 are no indications of drowsiness, pain or incoherence. There is
 20 nothing that suggests he is under the influence of a drug or
 suffering from withdrawal from a drug. He displays no difficulty
 understanding the questions asked of him. He responds to
 questions in a normal and appropriate manner.

21 ////

22 ////

23 ¹⁸ The initial part of the interrogation is not audible on the videotape.

24 ¹⁹ The transcript of the interrogation, which is in the Clerk's Transcript starting at page
 25 381, sets forth petitioner's response as follows: "I feel a little banged up, but I've got most of my
 26 (inaudible)." CT at 382. This court's review of the videotape suggests that petitioner said "I feel
 a little banged up, but I've got most of my senses."

1 Ex. F to Mar. 2, 2000 Answer, at 19. After reviewing the videotape, this court finds the superior
2 court's description to be an accurate characterization of the interrogation.²⁰

3 While acknowledging that the state court of appeal considered numerous factors
4 in resolving this claim, petitioner contends that the court "disregarded entirely the 'normal'
5 coercive circumstances attending the custodial interrogation" including the fact that petitioner
6 was "held in isolation, handcuffed, in a small interrogation room and subjected to questioning
7 about the murder of his two friends," that he was "left isolated and handcuffed in a tiny room for
8 22 minutes . . . with blood dripping down his face (which the videotape shows petitioner
9 repeatedly wiping with his shackled hands) at 2:00 a.m. after being knocked unconscious for
10 probably an hour," and that petitioner was obviously in a sleep-deprived state. Traverse at 53-55.
11 Petitioner overstates the circumstances revealed by the videotape of the interrogation. The
12 videotape does not suggest that the interrogation room was "tiny" or that blood was "dripping
13 down [petitioner's] face." Moreover, the videotape shows that only one of petitioner's hands
14 was shackled during the 22 minute period that preceded the interrogation and that both hands
15 were free during the questioning. Finally, while it does appear that petitioner was either sleepy
16 or bored while waiting for the officers, he did not exhibit signs of drowsiness during the
17 interrogation.

18 After review of the entire record and consideration of all of the circumstances
19 surrounding petitioner's custodial interrogation, this court finds no evidence that the statements
20 petitioner made to officers during that interrogation were involuntary. The state court's rejection
21 of this claim was neither contrary to nor an unreasonable application of relevant principles of
22 federal law, nor was its decision based on an unreasonable determination of the facts. This
23 aspect of petitioner's fourth claim for relief should be denied.

24
25 ²⁰ Prior to the start of the interrogation, petitioner did put his head down on the table and
26 appeared either drowsy or bored during part of the time he was waiting by himself. The court
agrees, however, that during the interrogation petitioner gave no sign of drowsiness or
incoherence or pain beyond what he described to the officers.

1 B. Validity of Miranda Waiver

2 Petitioner's second contention is that the statements he made to police were
3 obtained in violation of his rights under Miranda v. Arizona, 384 U.S. 436 (1955) because he did
4 not waive his Miranda rights at the time of the interrogation. This claim was raised in and
5 rejected by the state courts on petitioner's direct appeal. Exs. C and D to Answer. The last
6 reasoned rejection of this claim is the decision of the state court of appeal, which rejected the
7 claim as follows:

8 [Petitioner] contends that the prosecution failed to establish that
9 he validly waived his *Miranda* rights. He first argues that the
10 evidence does not establish that he comprehended his rights and
11 that was waiving them. The transcript of the interview reflects that
12 [petitioner] was advised of his rights and asked whether he
understood them. He answered that he did understand each of his
rights. His own testimony at the suppression hearing establishes
that he in fact understood his rights and what it meant to waive
them. . . .

13 [Petitioner] argues that the evidence does not show that he
14 actually waived his *Miranda* rights. The transcript of the interview
15 reflects that after [petitioner] was advised of his rights and said that
he understood them, the following exchange occurred:

16 "IO [Investigating Officers]: I wish to talk to you about
17 the murder of two people. Okay? And having these rights in mind
do you wish to answer some questions about the murder of two
people?

18 "AA: [Arthur Anderson]: Is my girlfriend all right?

19 "IO: She's fine.

20 "AA: Okay.

21 "IO: She's fine. But we want to talk to you about the
22 murder of a couple of people that you know.

23 "AA: Okay.

24 "IO: Do you understand these rights that I've read to you?

25 "AA: Yes sir.

26 "IO: Do you wish to waive them and . .

“AA: No sir, I want to know.

“IO: You want to talk to us then?

“AA: Yeah.

“IO: Okay.

“AA: Yeah, let’s . .

“IO: Okay.

“AA: Who do I know that’s murdered?”

No particular words are necessary, or necessarily enough, to find an invocation by a suspect of his *Miranda* rights. It is often relatively easy to find appellate decisions in which similar statements have been held both to be and not to be an invocation of rights. . . .

In the circumstances presented [petitioner]’s statement “No sir, I want to know” in connection with asking about his girlfriend was ambiguous and the officers were entitled to continue talking to him to determine whether he was waiving or invoking his rights. (*People v. Johnson, supra*, 6 Cal.4th at p. 27; see also *People v. Crittenden* (1994) 9 Cal.4th 83, 129-130; *People v. Clark, supra*, 5 Cal.4th at pp. 990-991.) [Petitioner] affirmed that he waived his rights and he then initiated the discussion of the murders. We conclude that under all of the circumstances presented, the trial court’s refusal to suppress the initial portion of the interview was a correct decision under the facts and the law.

People v. Anderson, slip op. at 19-22.

“Before a defendant’s self-incriminating statements may be admitted into evidence, ‘a heavy burden rests on the government to demonstrate that the defendant knowingly and intelligently waived his privilege against self-incrimination and his right to retained or appointed counsel.’” U.S. v. Rodriguez, 518 F.3d 1072, 1076 (9th Cir. 2008) (quoting Miranda, 384 U.S. at 475.) “Prior to obtaining an unambiguous and unequivocal waiver, a duty rests with the interrogating officer to clarify any ambiguity before beginning general interrogation.” U.S. v. Rodriguez, 518 F.3d at 1080. However, not “all waivers of Miranda rights must be *express*: ‘a suspect may impliedly waive the rights by answering an officer’s questions after receiving

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1 Miranda warnings.” Id. (quoting United States v. Rodriguez-Preciado, 399 F.3d 1118, 1127,
2 *amended*, 416 F.3d 939 (9th Cir. 2005).)

3 Petitioner contends that his statement “No sir I want to know” in response to the
4 officer’s question about whether he wished to waive his rights was an invocation of those rights,
5 not a waiver, and that the state court’s finding of waiver was an unreasonable determination of
6 the facts. Petitioner also contends that the officer’s follow-up question, “You want to talk to us
7 then?”, did not result in a clarification but instead “reinforced petitioner’s belief that he could
8 still refuse to waive his rights and still seek information from the officers about his girlfriend’s
9 welfare.” *Traverse* at 60-61.

10 As noted above, the court has reviewed the videotape of petitioner’s interrogation,
11 including the exchange described in the preceding paragraph. The state court’s determination
12 that petitioner’s statement “No sir I want to know” was ambiguous is completely supported by
13 the videotape. Moreover, the officer clarified that petitioner wished to continue talking to them,
14 and petitioner’s decision to continue answering questions after the exchange was, at a minimum,
15 an implied waiver of his Miranda rights. The state court’s rejection of this claim was neither
16 contrary to nor an unreasonable application of relevant principles of federal law, nor was its
17 decision based on an unreasonable determination of the facts. This aspect of petitioner’s fourth
18 claim for relief should be denied.

19 Claim 7: Refusal to Instruct on the Effect of Intoxication on Mental States Required for
20 First Degree Murder

21 Petitioner claims that his rights to due process, to present a defense, and to a fair
22 trial were violated by the trial court’s denial of defense counsel’s request to instruct the jury with
23 CALJIC 4.21 concerning the effect of voluntary intoxication on the specific intent required for
24 murder. This claim was raised in and rejected by the state courts on petitioner’s direct appeal.
25 Exs. C and D to Answer. The last reasoned state court rejection of petitioner’s due process claim

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1 is the decision of the state court of appeal on petitioner's direct appeal, which rejected the claim
2 as follows:

3 Jury instructions on voluntary intoxication and its relationship to
4 specific intent must be given upon request when there is evidence
5 supportive of such a theory. (*People v. Saille* (1991) 54 Cal.3d
6 1103, 1119.) However, such instructions may properly be refused
7 when there is no evidence from which a jury could conclude that as
8 a result of voluntary intoxication the defendant failed to form the
requisite criminal intent or attain the requisite mental state.
(*People v. Ramirez* (1990) 50 Cal.3d 1158, 1181; *People v.*
Williams (1988) 45 Cal.3d 1268, 1311.) The mere fact that there is
some evidence of ingestion of intoxicants before the crime is not
itself sufficient to require instructions on voluntary intoxication.

9 The sole evidence [petitioner] relies upon in support of this
10 contention is the fact that during his interview with detectives after
11 his arrest he said that he had used cocaine earlier in the day. At
12 trial he was equivocal about whether he had used cocaine, and he
13 made no claim of having been intoxicated or otherwise under the
14 influence of the drug by the time of the murders. And none of the
witnesses to the murders or who otherwise saw [petitioner] during
that time described him as intoxicated. On this record the claim
that [petitioner] may have been so under the influence of cocaine
that he did not harbor the mental states requisite to his convictions
is nothing but rank speculation.

15 *People v. Anderson*, slip op. at 55-56.²¹

16 As a general rule, federal habeas corpus relief is only available for an alleged error
17 in jury instructions when the instructional error “so infected the entire trial that the resulting

18 ²¹ Respondents contend that petitioner has failed to exhaust his claims that the alleged
19 instructional error violated his rights to present a defense, by failing to raise that claim at all in
20 the state courts, and to a fair trial, by raising that claim for the first time in a petition for review
21 filed in the California Supreme Court. See Answer to Amended Petition, filed Aug. 3, 2004, at
22 75. Respondent also contends that these claims are procedurally defaulted because they are now
23 time-barred under California law. See *id.* Petitioner contends that respondents have waived the
24 procedural default defense by not raising it in response to his original petition, which included all
25 of these federal claims, and that he exhausted his claim of violation of the right to present a
26 defense in his second petition for review to the California Supreme Court. Petitioner also
contends that “any violation of the right to present a defense instruction necessarily entails a
denial of due process *and* a denial of a fair trial” and that alleged violation of his right to present
a defense was exhausted with the latter claims. Traverse at 62-65. Petitioner exhausted his
claims of violations of his right to due process and to a fair trial on direct appeal when he
included them in his petition for review to the California Supreme Court. The right to present a
defense is included in the requirements of due process, see *Clark v. Brown*, 450 F.3d 898, 904
(9th Cir. 2006), and that claim is exhausted.

conviction violates due process.” Estelle v. McGuire, 502 U.S. 62, 72, 112 S.Ct. 475, 116 L.Ed.2d 385 (1991) (quoting Cupp v. Naughten, 414 U.S. 141, 147, 94 S.Ct. 396, 38 L.Ed.2d 368 (1973)). A habeas petitioner has an “especially heavy” burden “[w]here the alleged error is the failure to give an instruction. Hendricks v. Vasquez, 974 F.2d 1099, 1106 (9th Cir.1992) (quoting Henderson v. Kibbe, 431 U.S. 145, 155, 97 S.Ct. 1730, 52 L.Ed.2d 203 (1977)).

Due process requires that criminal prosecutions “comport with prevailing notions of fundamental fairness” and that “criminal defendants be afforded a meaningful opportunity to present a complete defense.” [California v. Trombetta, 467 U.S. [479] at 485, 104 S.Ct. 2528 [(1984)]. When habeas is sought under 28 U.S.C. § 2254, “[f]ailure to instruct on the defense theory of the case is reversible error if the theory is legally sound and evidence in the case makes it applicable.” Beardslee v. Woodford, 358 F.3d 560, 577 (9th Cir.2004) (as amended); see also Bradley v. Duncan, 315 F.3d 1091, 1098 (9th Cir.2002) (“[T]he right to present a defense would be empty if it did not entail the further right to an instruction that allowed the jury to consider the defense.”) (internal quotation marks omitted); Conde v. Henry, 198 F.3d 734, 739 (9th Cir.2000) (as amended) (“It is well established that a criminal defendant is entitled to adequate instructions on the defense theory of the case.”). A habeas petitioner must show that the alleged instructional error “had substantial and injurious effect or influence in determining the jury’s verdict.” Brecht v. Abrahamson, 507 U.S. 619, 637, 113 S.Ct. 1710, 123 L.Ed.2d 353 (1993) (citation omitted); see also Beardslee, 358 F.3d at 578.

Clark v. Brown, 450 F.3d 989, 904-905 (9th Cir. 2006). See also Byrd v. Lewis, 566 F.3d 855, 860 (9th Cir. 2009).

During his interview with the police following his arrest, petitioner told the officers that he had “shot up” about three quarters of a gram of cocaine at around one or two in the afternoon. CT at 385-86. After he injected the cocaine, he went to Nick’s house. Id. at 386. He stayed there for about ten minutes, got another quarter of a gram of cocaine, left and went to get some gas, and then went home and shot up the additional quarter of a gram. Id. at 386-389. He told the officers he had never used that much cocaine before in that short a period of time, but that he had “been working up to it.” Id. at 390. When asked if the questioning officer was right that petitioner couldn’t “remember much after [he] shot up the second time,” petitioner

1 responded “Yes sir.” Id. at 391. A videotape of this interview was played to the jury at trial, and
 2 a transcript of this interview was admitted into evidence as People’s Exhibit 25-A. See Ex. B to
 3 Mar. 2, 2000 Answer, at 95 n.58, and citations to the record therein.

4 After review of the record, this court finds that the state court of appeal’s
 5 determination that there was insufficient evidence to support the requested instruction is
 6 supported by the record. In particular, the state court’s finding that “[a]t trial [petitioner] was
 7 equivocal about whether he had used cocaine, and he made no claim of having been intoxicated
 8 or otherwise under the influence of the drug by the time of the murders” is fully supported by the
 9 record and an accurate characterization thereof. The state court’s rejection of this claim was
 10 entirely congruent with controlling principles of federal law. This claim should be denied.

11 Claim 8: Failure to Instruct Sua Sponte on Lesser Included Offenses

12 Petitioner’s eighth claim is that his right to due process was violated by the trial
 13 court’s failure to instruct the jury sua sponte on the lesser included offenses of voluntary and
 14 involuntary manslaughter and to give related instructions. See Amended Petition at 41. This
 15 claim was raised in and rejected by the state courts on petitioner’s direct appeal. Exs. C and D to
 16 Answer. The last reasoned rejection of this claim is the decision of the state court of appeal on
 17 petitioner’s direct appeal, which rejected the claim as follows:

18 A trial court must instruct on lesser included offenses when there is
 19 evidence from which a jury composed of reasonable persons could
 20 conclude that the defendant was guilty of the lesser crime. (*People*
 21 *v. Wickersham* (1982) 32 Cal.3d 307, 325.) But such instructions
 22 need not be given where the evidence establishes that if the
 23 defendant is guilty at all, he is guilty of the greater offense. (*Ibid.*)
 24 [Petitioner]’s only claim with respect to voluntary and involuntary
 manslaughter is that his voluntary intoxication may have precluded
 him from harboring the mental states consistent with first or
 second degree murder. We have rejected [petitioner]’s contention
 that the trial court erred in refusing his request for instructions on
 voluntary intoxication; perforce we reject the claim that the court
 had a duty to instruct sua sponte on these lesser included offenses.

25 People v. Anderson, slip op. at 57.

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1 In a capital case, due process requires instruction on lesser included offenses when
 2 such instructions are warranted by the evidence. See Beck v. Alabama, 447 U.S. 625, 638 &
 3 n.14 (1980); Hopper v. Evans, 456 U.S. 605, 611 (1982).²² “California law is essentially the
 4 same with respect to any sort of criminal offense: it requires a trial judge to instruct a jury on all
 5 lesser included offenses when the evidence raises a question as to whether all of the elements of
 6 the charged offenses were presented, but not when there is no evidence the offense was less than
 7 that charged. People v. Seden, 10 Cal.3d 703, 715, 112 Cal.Rptr. 1, 9, 518 P.2d 913, 921
 8 (1974), *disapproved on other grounds*, People v. Flannel, 25 Cal.3d 668, 684 n. 12, 160
 9 Cal.Rptr. 84, 93 n. 12, 603 P.2d 1, 10 n. 12 (1980); People v. Saldana, 157 Cal.App.3d 443, 454,
 10 204 Cal.Rptr. 465, 471 (1984).” Miller v. Stagner, 768 F.2d 1090, 1091 (9th Cir. 1985).

11 Here, as in the state court, petitioner argues that evidence that he was “voluntarily
 12 intoxicated as a result of two intravenous injections of more cocaine than he had ever used
 13 before” could have led reasonable jurors to conclude that he lacked the specific intent required
 14 for murder and to convict him instead of manslaughter. Amended Petition at 41. For the reasons
 15 set forth supra, petitioner was not entitled to a jury instruction on voluntary intoxication; a
 16 fortiori, there was no due process violation in the state court’s failure to instruct sua sponte on
 17 lesser included offenses. The state court’s rejection of this claim was neither contrary to nor an
 18 unreasonable application of controlling principles of federal law, nor was it based on an
 19 unreasonable determination of the fact. This claim should be denied.

20 Claim 11: Excusing Juror Rondone Without Good Cause

21 By claim 11, petitioner contends that his Sixth Amendment right to an impartial
 22 jury was violated when the trial court excused juror Victoria Rondone from the jury shortly
 23 before the prosecution finished its case-in-chief. Petitioner contends that juror Rondone was

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 25 ²² For non-capital cases, “[f]ailure of a state court to instruct on a lesser offense fails to
 26 present a federal constitutional question and will not be considered in a federal habeas corpus
 proceeding.” Bashor v. Risley, 730 F.2d 1228, 1240 (9th Cir.1984). The instant case was tried as
 a capital case, although petitioner was ultimately sentenced to life in prison.

1 excused without good cause. This claim was raised in and rejected by the state courts on
2 petitioner's direct appeal. Exs. C and D to Answer. The last reasoned rejection of this claim is
3 the decision of the state court of appeal, which set forth the relevant facts as follows:

4 On the morning of December 15, 1992, Juror Rondone absented
5 herself from the trial. About 10 minutes after the trial was
6 scheduled to have resumed, the court received a telephone call
7 from a person who identified himself as Rondone's husband. The
8 caller said that Rondone's grandmother had died and that Rondone
9 had left to drive her mother to Reno, and that she expected to be
10 back to resume her jury service the following day. After hearing
11 from the parties, the court determined to excuse Rondone and
12 replace her with an alternate juror.

13 People v. Anderson, slip op. at 60-61. The state court of appeal found that the trial court had
14 acted "well within its discretion" in deciding to excuse Juror Rondone, particularly in light of the
15 death in her family "and her unilateral decision to absent herself from the proceedings." Id. at
16 61.

17 California Penal Code § 1089 provides:

18 If at any time, whether before or after the final submission of the
19 case to the jury, a juror dies or becomes ill, or upon other good
20 cause shown to the court is found to be unable to perform his duty,
21 or if a juror requests a discharge and good cause appears therefor,
22 the court may order him to be discharged and draw the name of an
23 alternate, who shall then take his place in the jury box, and be
24 subject to the same rules and regulations as though he had been
25 selected as one of the original jurors.

26 Cal. Penal Code §1089 (quoted in Perez v. Marshall, 119 F.3d 1422, 1426 (9th Cir. 1997)). The
United States Court of Appeals for the Ninth Circuit has "upheld the constitutionality of section
1089 under the Sixth Amendment." Perez, at 1426 (citing Miller v. Stagner, 757 F.2d 988, 995
(9th Cir., *amended*, 768 F.2d 1090 (1985))).

 The question of whether petitioner's Sixth Amendment right to a jury trial was
violated by the trial court's decision to excuse Juror Rondone and replace her with an alternate
"is a is a question of law which we review de novo." Perez, at 1426. In this habeas corpus

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1 action, the “trial court’s findings regarding juror fitness are entitled to special deference.” Id.
 2 This court reviews for “manifest error” the trial court’s decision to excuse Juror Rondone. Id.

3 The trial court found good cause to excuse Juror Rondone based on (1) the court’s
 4 inability to proceed with trial due to her absence; (2) the court’s concern about her being
 5 distracted; and (3) the fact that she had been late on a couple of other occasions. RT at 2817.
 6 The court also considered the fact that it had four alternate jurors available to replace Juror
 7 Rondone, and that the trial was ahead of schedule. Id. at 2818. Those findings are entitled to
 8 “special deference.” Perez, at 1426.

9 After review of the entire record herein, this court finds no “manifest error” in the
 10 trial court’s decision to dismiss Juror Rondone. The state courts’ rejection of petitioner’s Sixth
 11 Amendment claim arising from the trial court’s decision to excuse Juror Rondone was neither
 12 contrary to, nor an unreasonable application of controlling principles of federal law. This claim
 13 should therefore be denied.

14 Claim 12: Insufficient Evidence of Lying in Wait

15 By claim 12, petitioner contends that there was insufficient evidence to support
 16 either murder conviction under a theory of lying in wait. This claim was raised in and rejected by
 17 the state courts on petitioner’s direct appeal. Exs. C and D to Answer. The last reasoned
 18 rejection of this claim is the decision of the state court of appeal, as follows:

19 [Petitioner] contends that the evidence was insufficient to
 20 support findings of lying in wait with the respect to the murders of
 21 Nick and Schmeisser, and that instructions on this theory were
 22 unwarranted. We disagree. Lying in wait requires that the murder
 23 be committed under circumstances which include (1) a
 24 concealment of purpose, (2) a substantial period of watching and
 waiting for an opportune time to act, and (3) immediately
 thereafter, a surprise attack on an unsuspecting victim from a
 position of advantage. . . .” (*People v. Morales* (1989) 48 Cal.3d
 527, 557; see also *People v. Hardy, supra*, 2 Cal.4th at p. 163.)

25 In *People v. Hardy, supra*, the killers disabled a porch light and
 26 used a key and bolt cutters to enter a house in which their victims
 slept. The Supreme Court said: “Thus cloaked in darkness, they
 traversed the hallway to the bedrooms and killed the victims. From

1 this evidence, the jury could reasonably conclude defendants
 2 concealed their murderous intention and struck from a position of
 3 surprise and advantage, factors which are the hallmark of a murder
 4 by lying in wait. Insisting on a showing that defendants actually
 5 watched the victims sleeping and waited a moment before
 6 attacking reads the law in too literal a fashion.” (2 Cal.4th at p.
 7 164.) In *People v. Ruiz, supra*, 44 Cal.3d at page 615, the court
 8 found sufficient evidence of lying in wait where the victims were
 9 both shot in the head from close range and their bodies were found
 10 buried in the yard clothed in bedclothes and wrapped in bedding.
 11 In *People v. McDermid* (1984) 162 Cal.App.3d 770, 773, the
 12 evidence was sufficient where the defendant killed the victims
 13 while they slept. As these decisions demonstrate, the period of
 14 watching and waiting need not be long; it is sufficient that the
 15 defendant concealed his purpose until he had an opportunity to
 16 launch a surprise attack from a position of advantage.

17 “A reviewing court may not substitute its judgment for that of
 18 the jury. It must view the record favorably to the judgment below
 19 to determine whether there is evidence to *support* the instruction,
 20 not scour the record in search of evidence suggesting a contrary
 21 view.” (*People v. Ceja* (1993) 4 Cal.4th 1134, 1143, emphasis in
 22 original.)

23 We find ample evidence to support the instruction in this case.
 24 The eyewitness to the Schmeisser murder testified that [petitioner]
 25 entered the apartment on the subterfuge of a friendly visit, carrying
 26 something in which he had apparently concealed his gun. When
 the victim was completely off guard and unaware of [petitioner]’s
 murderous purpose, [petitioner] held the gun to his face and shot
 him. This is strong evidence that [petitioner] concealed his
 purpose while he sought a position of advantage from which to
 shoot Schmeisser in a surprise attack (*People v. Ceja, supra*, 4
 Cal.4th at p. 1144) and is sufficient evidence of lying in wait under
People v. Hardy, supra, 2 Cal.4th at page 164, and *People v. Ruiz,*
supra, 44 Cal.3d at page 615.

Although there was no eyewitness to the Nick murder itself,
 under all of the circumstances the jury could reasonably infer that
 [petitioner] employed a modus operandi similar to the Schmeisser
 murder. In addition, Michael Cole, who was leaving Nick’s home
 as [petitioner] arrived, saw [petitioner] carrying a flat box toward
 Nick’s door and it is reasonably inferable that [petitioner] had his
 gun concealed in the box. Moreover, Nick was found on his
 couch, shot in the head, and the evidence indicated that he was
 sitting or lying on the couch when he was shot. This evidence
 reasonably supports a finding that [petitioner] sought and waited
 for a position of advantage from which to murder Nick.

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1 Accordingly, we find sufficient evidence to support lying-in-wait
2 instructions in this case.

3 People v. Anderson, slip op. at 64-67.

4 When a challenge is brought alleging insufficient evidence, federal habeas corpus
5 relief is available if it is found that upon the record evidence adduced at trial, viewed in the light
6 more favorable to the prosecution, no rational trier of fact could have found proof of guilt beyond
7 a reasonable doubt. Jackson v. Virginia, 443 U.S. 307, 319 (1979). Under Jackson, the court
8 must review the entire record when the sufficiency of the evidence is challenged on habeas.
9 Adamson v. Ricketts, 758 F.2d 441, 448 n.11 (9th Cir. 1985), vacated on other grounds, 789 F.2d
10 722 (9th Cir. 1986) (en banc), rev'd, 483 U.S. 1 (1987). It is the province of the jury to ‘resolve
11 conflicts in the testimony, to weigh the evidence, and to draw reasonable inferences from basic
12 facts to ultimate facts.’ Jackson, 443 U.S. 307, 319. “The question is not whether we are
13 personally convinced beyond a reasonable doubt. It is whether rational jurors could reach the
14 conclusion that these jurors reached.” Roehler v. Borg, 945 F.2d 303, 306 (9th Cir. 1991).
15 Under Jackson, the federal habeas court determines sufficiency of the evidence in reference to the
16 substantive elements of the criminal offense as defined by state law. Jackson, 443 U.S. 307, 324
17 n.16.

18 After review of the record herein, this court finds that the state court of appeal’s
19 determination that there was sufficient evidence to support a finding of lying in wait as to each of
20 the murders was neither contrary to or an unreasonably application of clearly established federal
21 law, nor based on an unreasonable determination of the facts in the record. This claim should be
22 denied.

23 Claim 13: Due Process Violation in Use of the Term “Lying in Wait”

24 Petitioner’s thirteenth claim is that his conviction under a lying in wait theory of
25 murder violates his rights to due process and a fair trial because “the redefinition of the elements
26 of offense by the state courts results in arbitrary application of Penal Code § 189 and because the

statute, as interpreted by the California courts, is vague and overbroad.” Amended Petition at 48. This claim was raised in and rejected by the state courts on petitioner’s direct appeal. Exs. C and D to Answer. The last reasoned rejection of this claim is the decision of the state court of appeal, which rejected the claim as follows:

[Petitioner] argues that lying in wait, as interpreted by the California Supreme Court, is unconstitutional. As we have noted, we are bound by the decisions of our Supreme Court. (*Auto Equity Sales, Inc. v. Superior Court, supra*, 57 Cal.2d 450.) Assuming that, despite *Auto Equity Sales, Inc.*, we may consider whether our Supreme Court’s interpretation of a statute is unconstitutional, we reject [petitioner]’s argument here.

[Petitioner] first argues that lying in wait, as a basis for finding murder to be in the first degree, constitutes an impermissible presumption of premeditation and deliberation. We disagree. This argument presupposes that the Legislature may classify only premeditated and deliberated killings as first degree murder. We find no authority for such a proposition. Defining crimes is a matter within the legislative prerogative. (*People v. Dillon* (1983) 34 Cal.3d 441, 462-463.) The Legislature obviously found murder perpetrated by lying in wait to be sufficiently heinous to warrant punishment in the same manner as premeditated and deliberated murder. (Pen. Code, § 189.) But the Legislature did not provide that lying in wait gives rise to a presumption of premeditation and deliberation; rather, under our law a showing of lying in wait obviates the need to prove premeditation and deliberation. (*People v. Hardy* (1992) 2 Cal.4th 86, 162-163.) This does not constitute a constitutionally impermissible presumption. (*Ibid.*)

[Petitioner] contends that “lying in wait” is unconstitutionally vague and overbroad. Our Supreme Court has rejected an identical contention with respect to lying in wait as a special circumstance in a murder case. (*People v. Edwards, supra*, 54 Cal.3d at p. 824.) We find the reasoning of the decision in *Edwards* to be dispositive here as well.

People v. Anderson, slip op. at 63-64.

It is “indisputable . . . that the authority to define and fix the punishment for crime is legislative. . . .” Ex parte U.S., 242 U.S. 27, 42 (1916). The authority to define crimes under California law rests with the voters of the State of California and the California legislature, which have defined first degree murder as follows:

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1 All murder which is perpetrated by means of a destructive device
 2 or explosive, a weapon of mass destruction, knowing use of
 3 ammunition designed primarily to penetrate metal or armor,
 4 poison, lying in wait, torture, or by any other kind of willful,
 5 deliberate, and premeditated killing, or which is committed in the
 6 perpetration of, or attempt to perpetrate, [enumerated felonies], or
 7 any murder which is perpetrated by means of discharging a firearm
 8 from a motor vehicle, intentionally at another person outside of the
 9 vehicle with the intent to inflict death, is murder of the first degree.
 10 All other kinds of murders are of the second degree.

11 Cal. Penal Code § 189. According to the United States Court of Appeals for the Ninth Circuit,
 12 “lying in wait originated in California as a means of presuming the premeditation necessary for a
 13 finding of first degree murder.” Webster v. Woodford, 369 F.3d 1062, 1074 (9th Cir. 2004)
 14 (citing *Domino v. Superior Court*, 129 Cal.App.3d 1000 (1982)). This “means of presuming”
 15 necessary premeditation is not an unconstitutional presumption that eliminates the requirement of
 16 proof of an essential element of murder. Cf. Sandstrom v. Montana, 442 U.S. 510 (1979).
 17 Rather, it permits proof of “three elements: concealment, watching, and waiting” as one way to
 18 show the mens rea necessary for first degree murder as defined by California law. Webster, at
 19 1073.

20 Nor is the definition of lying in wait murder unconstitutionally vague or
 21 overbroad. “As generally stated, the void-for-vagueness doctrine requires that a penal statute
 22 define the criminal offense with sufficient definiteness that ordinary people can understand what
 23 conduct is prohibited and in a manner that does not encourage arbitrary and discriminatory
 24 enforcement.” Kolender v. Lawson, 461 U.S. 352, 357 (1983). California’s prohibition on lying
 25 in wait murder satisfies this constitutional requirement. The state court’s rejection of this claim
 26 was neither contrary to nor an unreasonable application of clearly established federal law. This
 claim should be denied.

Claims 5, 19, 20, 21, 23D and F, 24, and 26: Ineffective Assistance of Counsel

Petitioner raises several claims of ineffective assistance of counsel. A claim of
 ineffective assistance of counsel has two elements, both of which must be shown by the

petitioner in order to prevail on the claim. First, the petitioner must show that, considering all the circumstances, counsel's performance fell below an objective standard of reasonableness. Strickland v. Washington, 466 U.S. 688 (1984). The court must determine whether in light of all the circumstances, the identified acts or omissions were outside the wide range of professional competent assistance. Id. at 690. "Review of counsel's performance is highly deferential and there is a strong presumption that counsel's conduct fell within the wide range of reasonable representation." United States v. Ferreira-Alameda, 815 F.2d 1251 (9th Cir. 1986).

Second, the petitioner must prove prejudice. Strickland at 693. To demonstrate prejudice, petitioner must show that "there is a reasonable probability that, but for counsel's unprofessional errors, the result of the proceeding would have been different." Id. at 694. A reasonable probability is "a probability sufficient to undermine confidence in the outcome." Id. The focus of the prejudice analysis is on "whether counsel's deficient performance renders the result of the trial unreliable or the proceeding fundamentally unfair." Lockhart v. Fretwell, 506 U.S. 364, 372 (1993).

Claims 5 and 19: Failure to Investigate and Present Evidence of Petitioner's Head Injury and Intoxication in Support of Motion to Suppress Evidence

By claims 5 and 19, petitioner claims that trial counsel was ineffective in failing to present in connection with the motion to suppress petitioner's statements to police testimony from the forensic psychiatrist, Dr. Albert Globus, who conducted a neurological examination of petitioner and testified for the defense at trial. Petitioner presented this claim to the state courts in petitions for writ of habeas corpus filed in the Sacramento County Superior Court and the California Court of Appeal for the Third Appellate District and in a Petition for Review to the California Supreme Court. See Exs. A, G and H to Answer. The last reasoned rejection of the claim is the June 5, 1998 decision of the state superior court, which rejected the claim as follows:

It is correct that the trial counsel did not offer the medical testimony of Dr. Globus at this stage of the proceedings. _____
Regarding the failure to present evidence of cocaine intoxication at

1 [the hearing on the admissibility of petitioner's statements to
2 police], this court assumes petitioner is referring to the failure to
3 have his blood sample properly tested early in time, which may
4 have resulted in evidence of cocaine in the blood of the petitioner
5 some hours after his arrest. The court will agree that trial counsel
6 was very likely ineffective in failing to have the blood tested early
7 in time. This ineffectiveness may have resulted in the loss of the
8 evidence in question. The court will agree that it is possible trial
9 counsel was ineffective in not presenting Dr. Globus' testimony at
10 the hearing on voluntariness. These are tentative observations, at
11 this point in time.

12 It is the view of this court that it is not necessary to reach any
13 conclusion on the above questions concerning possible
14 ineffectiveness of trial counsel. The petitioner has the burden of
15 establishing both the ineffective ness of counsel and that the
16 ineffective performance of counsel resulted in prejudice to the
17 petitioner. Petitioner must establish that there is a reasonable
18 probability that the result would have been more favorable to the
19 petitioner in the absence of counsel's ineffective assistance.
20 (Strickland v. Washington (1984) 466 U.S. 668.) In order to
21 suppress the statement, petitioner would have to demonstrate that
22 his reasoning was in fact so impaired that he was incapable of free
23 or rational choice. (People v. Mayfield (1993) 5 Cal.4th 142, 204.)
24 For example, the fact a defendant made a statement while under the
25 influence of a drug or suffering from drug withdrawal does not
26 necessarily render it involuntary. The same is true of the claimed
head injury. The totality of the circumstances must be examined to
determine whether the statement was the product of a rational
intellect and a free will. (People v. Hernandez (1998) 204
Cal.App.3d 639, 648.)

17 If the trial counsel had presented the testimony of Dr. Globus at
18 the suppression hearing and also presented evidence confirming
19 petitioner's use of cocaine some 10 hours before the statement, he
20 would have had little prospect of changing the outcome of the
21 suppression motion. First, during the statement itself, the
22 petitioner reported he was not still being affected by the cocaine. It
23 is a matter of common knowledge that cocaine is a fast acting drug
24 which does not have long lasting effects on the individual. During
25 his statement, the petitioner also reported that he was not suffering
26 in any significant manner from the head injury.

23 Second, the testimony of the petitioner at the suppression
24 hearing needs to be considered. In his testimony, he did not claim
25 that he was somehow befuddled or impaired by his head injury at
26 the time he was being interviewed. Finally, this court has observed
the entire video tape of the interview which was received in
evidence at the trial. The petitioner can be observed on the tape for
at least one hour. The tape shows him before, during, and after the
interview. There are no indications of drowsiness, pain or

1 incoherence. There is nothing that suggests he is under the
 2 influence of a drug or suffering from withdrawal from a drug. He
 3 displays no difficulty understanding the questions asked of him.
 4 He respond to questions in a normal and appropriate manner.
 5 Petitioner points to nothing on the video tape or in the transcript of
 6 the questioning that supports the claim that his reasoning was
 7 significantly impaired during the statement. Based upon the above
 8 considerations, this court concludes that there is no reasonable
 9 probability that the evidence petitioner contends should have been
 10 presented would have caused a more favorable ruling by the trial
 11 court.

12 In re Arthur R. Anderson, slip op. at 17-19.

13 After review of the entire record, this court finds that the state superior court's
 14 findings are supported by the record.²³ This court finds no reasonable probability that the
 15 outcome of the hearing on the suppression motion would have been different had petitioner's
 16 trial counsel offered the testimony of Dr. Globus at the suppression hearing or had the blood
 17 sample tested for the presence of cocaine at a much earlier time. The state court's rejection of
 18 this claim is neither contrary to nor an unreasonable application of clearly established principles
 19 of federal law. This claim should be denied.

20 Claim 20: Failure to Raise Involuntariness

21 Petitioner next claims that his counsel was ineffective in failing to contend in the
 22 motion to suppress that petitioner's statements to police were involuntary and to support that
 23 contention with evidence of cocaine intoxication and medical evidence of the effects of
 24 petitioner's head injury and cocaine abuse. For the reasons discussed in Claim 4, supra,
 25 petitioner's statements to police were not involuntary and were not obtained in violation of his
 26 rights under Miranda. A fortiori, counsel's performance in this regard was not ineffective. This
 claim should be denied.

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²³ As noted in this court's discussion of Claim 4, supra, this court did see in the videotape some suggestion that petitioner was drowsy or bored while waiting to be questioned by police officers.

1 Claim 21: Failure to Investigate and Present Evidence of Juror Moon's Sleeping
2 During Trial

3 In claim 21, petitioner contends that he received constitutionally ineffective
4 assistance of counsel when his trial counsel failed "to adequately investigate and present
5 evidence that Juror Bruce Moon fell asleep for minutes at a time, several times each day
6 throughout the trial, and thereby missed cumulatively significant portions of trial testimony, and
7 that Mr. Moon suffered from an undiagnosed disorder that caused him to slip into a sleep state
8 when in sedentary situations" in connection with petitioner's motion for a new trial. Amended
9 Petition at 52, 58-59. Petitioner presented this claim to the state courts in petitions for writ of
10 habeas corpus filed in the Sacramento County Superior Court and the California Court of Appeal
11 for the Third Appellate District and in a Petition for Review to the California Supreme Court, see
12 Exs. A, G and H to Answer, and in a petition for writ of habeas corpus filed in the California
13 Supreme Court on January 14, 2003 and denied by that court on September 10, 2003. The last
14 reasoned rejection of the claim is the June 5, 1998 decision of the state superior court, which
15 rejected the claim as follows:

16 The petitioner's second claim of ineffective assistance of
17 counsel relates to the hearing on trial counsel's motion for a new
18 trial. One of the issues raised was the claim that juror Moon had
19 been asleep during portions of the testimony. The affidavits of
20 three jurors were filed in which they reported that Mr. Moon had
21 admitted he had trouble staying awake and had fallen asleep during
22 some testimony. The only witness who testified at the hearing was
23 juror Moon. He denied falling asleep, although he acknowledged
24 that his eyes were closed at times. He acknowledged he had low
25 blood pressure and that he did have problems staying awake.
26 Petitioner alleges trial counsel should have presented the testimony
of other jurors at the hearing. He also alleges that trial counsel
should have obtained Moon's medical records to support the report
he was sleeping and to show that juror Moon committed
misconduct by not disclosing his condition during voir dire.

The court finds there is no reasonable probability that these
alleged failures of trial counsel resulted in prejudice to the
petitioner. Regarding the failure to call other jurors, it is clear that
the trial judge had read and considered the affidavits of the three
jurors. The trial record shows that the affidavits have been

1 underlined in parts, and various words have been circled by the
2 judge. It is apparent the judge was fully aware of the contents of
3 these affidavits. With the contents of the affidavits well known to
4 the judge, he accepted the explanation given during the hearing by
5 juror Moon. The judge accepted his assertion that he had not fallen
6 asleep. It is not likely hearing other jurors testify to the contents of
7 their affidavits would cause a different outcome. Since juror Moon
8 admitted to having a medical condition that did have some effect
9 on his ability to stay awake, it appears unlikely that medical
10 records would add to that admission. Since the trial judge was
11 persuaded that juror Moon was able to stay awake during the trial
12 testimony, it is an extremely remote possibility that the trial judge
13 would have found juror misconduct based on the juror's failure to
14 disclose his condition during voir dire. If a juror is able to stay
15 awake through [sic] an extended trial, it is not likely his condition is
16 of sufficient severity to warrant disclosure during voir dire.

17 In re Arthur R. Anderson, slip op. at 19-21.

18 Several principles of clearly established federal law are relevant to disposition of
19 this claim. First, Rule 606(b) of the Federal Rules of Evidence sets forth limitations on the
20 admission of juror testimony, as follows:

21 Upon an inquiry into the validity of a verdict or indictment, a juror
22 may not testify as to any matter or statement occurring during the
23 course of the jury's deliberations or to the effect of anything upon
24 that or any other juror's mind or emotions as influencing the juror
25 to assent to or dissent from the verdict or indictment or concerning
26 the juror's mental processes in connection therewith, except that a
juror may testify on the question whether extraneous prejudicial
information was improperly brought to the jury's attention or
whether any outside influence was improperly brought to bear
upon any juror. Nor may a juror's affidavit or evidence of any
statement by the juror concerning a matter about which the juror
would be precluded from testifying be received for these purposes.

27 Fed. R. Evid. 606(b). In Tanner v. United States, 483 U.S. 107, 121 (1987), the United States
28 Supreme Court held that Rule 606(b) is "grounded in the common-law rule against admission of
29 jury testimony to impeach a verdict and the exception for juror testimony relating to extraneous
30 influences." As the United States Court of Appeals for the Ninth Circuit explained,

31 "[t]he near-universal and firmly established common law rule in
32 the United States flatly prohibited the admission of juror testimony
33 to impeach a verdict." Tanner v. United States, 483 U.S. 107, 107

1 S.Ct. 2739, 2745, 97 L.Ed.2d 90 (1987); McDonald v. Pless, 238
 2 U.S. 264, 267, 35 S.Ct. 783, 784, 59 L.Ed. 1300 (1915).
 3 Exceptions were made only where it was alleged that an
 4 “extraneous influence” affected the jury; courts nearly always
 5 refused to admit juror testimony concerning internal abnormalities
 6 absent a contemporaneous adjudication or an extremely strong
 7 showing of juror incompetence. Tanner, 107 S.Ct. at 2746-47; *see*
Mattox v. United States, 146 U.S. 140, 149, 13 S.Ct. 50, 53, 36
 8 L.Ed. 917 (1892) (exception for situations involving extraneous
 9 influence); United States v. Pimentel, 654 F.2d 538, 542 (9th
 10 Cir.1981) (juror testimony admissible only concerning facts
 11 bearing on extraneous influence on deliberations).

12 Hard v. Burlington Northern R. Co., 870 F.2d 1454, 1460-61 (9th Cir. 1989). With the possible
 13 exception of “substantial if not wholly conclusive evidence of incompetency,” Tanner, at 125
 14 (quoting U.S. v. Dioguardi, 492 F.2d 70, 80 (C.A.N.Y. 1974), “allegations of the physical or
 15 mental incompetence of a juror” are treated as internal, rather than external, influences on jury
 16 deliberations and are not subject to post-verdict inquiry. Tanner, at 118. Moreover, “[w]hether
 17 the juror was literally inside or outside the jury room when the irregularity occurred has no
 18 bearing on the determination that a particular influence was external or internal.” U.S. v.
 19 Hernandez-Escarsega, 886 F.2d 1560, 1579 (9th cir. 1989) (citing Tanner, at 117). In Tanner, the
 20 Court held that allegations by a juror that he and three other jurors consumed alcohol without
 21 becoming intoxicated and that “some jurors were ‘falling asleep all the time during trial,’ and
 22 that his own reasoning ability was affected on one day of the trial” were insufficient to come
 23 within “the common-law exception allowing post-verdict inquiry when an extremely strong
 24 showing of incompetency has been made” Id. at 126.

25 In accordance with the principles discussed in the preceding paragraphs, any
 26 testimonial or other evidence from any of the trial jurors, including Mr. Moon, concerning his
 alleged sleeping during portions of the trial are not admissible in these proceedings. See Fed. R.
 Evid. 606(b); see also Tanner, at 117-126. Petitioner has also presented non-juror evidence of
 Mr. Moon’s sleeping, including declarations from petitioner’s mother, petitioner’s two sisters,
 and two friends of petitioner’s family, all of whom averred that they observed Mr. Moon appear

1 to fall asleep during portions of the trial. In addition, petitioner has presented an expert
2 declaration from David Claman, M.D. concerning whether Mr. Moon suffered from a sleep
3 disorder and the probable impact of such a disorder “on his ability to perceive trial testimony.”
4 Ex. 5 to 2003 State Supreme Court Habeas Petition. Dr. Claman’s declaration is based
5 substantially, though not entirely, on juror affidavits, testimony and interviews. Id. It is also
6 based on the nonjuror declarations filed by petitioner in this action. Id.

7 Section 1150(a) of the California Evidence Code “is substantively similar to Fed.
8 R. Evid. 606(b).” Estrada v. Scribner, 512 F.3d at 1237 n.10. Petitioner claims that his trial
9 counsel was ineffective in failing to discover and present in support of his motion for new trial
10 additional evidence that petitioner has now tendered in support of the relevant claims raised in
11 the instant petition. To the extent that evidence is testimony or other evidence from jurors,
12 including Juror Moon, it is not admissible in these proceedings and would not have been
13 admissible before the state court. Counsel was therefore not ineffective in failing to discover or
14 present additional evidence from the jurors.

15 Moreover, the nonjuror evidence presented by petitioner is insufficient to
16 demonstrate that his constitutional rights were violated by Juror’s Moon’s sleep behaviors during
17 trial. As noted above, the United States Supreme Court held in Tanner that evidence that “some
18 jurors were ‘falling asleep all the time during trial’” did not establish juror incompetence
19 sufficient to justify further post-verdict inquiry. Tanner at 126. The admissible evidence
20 presented to this court shows no more than that deemed insufficient by the Tanner Court. For
21 that reason, this court finds no reasonable probability that the outcome of the motion for new trial
22 would have been different had petitioner’s counsel pursued the evidence presented herein and
23 that petitioner suffered no cognizable prejudice as a result of counsel’s omission. The state
24 court’s rejection of this claim was neither contrary to nor an unreasonable application of clearly
25 established United States Supreme Court precedent. This claim should be denied.

26 /////

1 Claim 23 D and F: Failure to Preserve Issues for Appeal

2 Petitioner claims that his trial counsel was ineffective in failing to preserve two
3 issues concerning jury instructions for appeal. Specifically, petitioner claims his counsel was
4 ineffective in failing to request a jury instruction on the causal element of lying in wait murder
5 and in failing to request a jury instruction on lesser included offenses. As discussed above,
6 petitioner has also claimed that the jury instruction on lying in wait murder was erroneous and
7 that the trial court erred in not instructing the jury on lesser included offenses. For the reasons
8 discussed supra, neither claim has merit. A fortiori, counsel was not ineffective in failing to
9 request the instructions suggested by petitioner. This claim for relief should be denied.

10 Claim 24: Failure to Test Blood

11 By claim 24, petitioner claims that his counsel was ineffective in failing to
12 promptly obtain a test of petitioner's blood sample to confirm the presence of cocaine. Petitioner
13 presented this claim to the state courts in petitions for writ of habeas corpus filed in the
14 Sacramento County Superior Court and the California Court of Appeal for the Third Appellate
15 District and in a Petition for Review to the California Supreme Court. See Exs. A, G and H to
16 Answer. The last reasoned rejection of the claim is the June 5, 1998 decision of the state
17 superior court, which rejected the claim as follows:

18 The petitioner's fifth claim of ineffective assistance of counsel
19 relates to counsel's failure to obtain promptly a confirmatory blood
20 test establishing the presence of cocaine in petitioner's blood
21 sample taken by police some 10 hours after the criminal conduct at
22 issue. An initial evaluation of the blood sample came back
23 presumptively positive for cocaine. back negative. [sic] Petitioner
24 contends that cocaine in the blood breaks down over time and an
25 early test would probably have been positive. Petitioner asserts
26 that the failure to confirm cocaine in petitioner's blood damaged
petitioner's entire strategy and deprived him of the crucial defense
of voluntary intoxication. (The court has already addressed this
issue as it relates to the hearing on the motion to suppress
petitioner's statement.)

Petitioner has offered the declaration of a qualified toxicologist
in his supplemental declarations. This expert confirms that cocaine

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1 in the blood will decompose and disappear over a period of time,
2 such as existed in this case. (Exh. M.)

3 The court agrees that counsel was likely ineffective for not
4 promptly obtaining a testing of the blood. The court will assume
5 that counsel's delay caused the loss of this evidence which might
6 have confirmed the [petitioner]'s initial claim that he injected the
7 drug within a few hours of the criminal conduct. However, trial
8 counsel in his declaration indicates that he may have delayed
9 testing in the hope that no cocaine would appear in his blood.
10 Since his recall at this time of his trial strategy is not clear, he does
11 not assert this to be a fact. This does raise an interesting issue of
12 trial strategy. It may not have been in petitioner's best interest to
13 emphasize and argue that petitioner was under the influence of
14 cocaine on the afternoon in question. Being under the influence of
15 cocaine may provide an explanation for the bizarre and seemingly
16 pointless murders of two friends of petitioner. Such an argument
17 could weaken the argument that someone other than the
18 [petitioner] did commit the murders.

19 Assuming for the sake of this discussion that this was not a trial
20 strategy to down play the cocaine evidence, the court will consider
21 whether the loss of this evidence was prejudicial to the [petitioner]
22 at trial. First, it appears unlikely the trial court would have given
23 the instruction on voluntary intoxication, even with the
24 confirmatory blood test. If the instruction was given, it appears
25 unlikely trial counsel could have effectively utilized the defense.
26 At trial, the petitioner made no claim he was intoxicated or
otherwise under the influence of the drug by the time of day at
which the murders occurred. None of the witnesses to the murders
described him as intoxicated. None of the persons who saw him
near in time to the murders observed any indication of intoxication.
Evidence which established his ingestion of cocaine at some time
on the date of the crime would hardly support the contention that
he was so affected by cocaine that he did not form the mental state
required for murder. This blood evidence would have little value
in the face of petitioner's repeated assertions he was not affected
by the drug. The court concludes that the presence of a
confirmatory blood test showing evidence of cocaine usage would
not have the probability of a more favorable result for the
[petitioner].

22 In re Arthur R. Anderson, slip op. at 26-28.

23 After review of the entire record, this court finds that the state superior court's
24 findings are fully supported by the record. This court finds no reasonable probability that the
25 outcome of the trial would have been different had petitioner's trial counsel had the blood sample
26 tested for the presence of cocaine at a much earlier time. The state court's rejection of this claim

1 is neither contrary to nor an unreasonable application of clearly established principles of federal
2 law. This claim should be denied.

3 Claim 26: Failure to Request and Object to Jury Instructions

4 By claim 26, petitioner contends that his trial counsel was ineffective in failing to
5 request lesser included offense instructions on manslaughter and involuntary manslaughter, to
6 adequately support his belated request for a jury instruction on voluntary intoxication to negate
7 specific intent, failure to request a jury instruction that instructed the jury it could disregard “an
8 admission that is insufficiently corroborated or otherwise unworthy of belief,” failure to request
9 an instruction informing the jury it could “consider psychological factors affecting the
10 believability of a defendant’s statement to police,” failure to request an instruction on the “by
11 means of” element of lying in wait murder, failure to request a limiting instruction on the use of
12 consciousness of guilt evidence, failure to request an instruction on prior consistent or
13 inconsistent statements of trial witnesses, failure to request lesser included and lesser related
14 instructions on attempted murder, and failure to object to the omission of the “by means of”
15 element of lying in wait murder from the jury instruction that was given. See Amended Petition
16 at 69-75; Traverse at 152-162.

17 Petitioner presented this claim to the state courts in petitions for writ of habeas
18 corpus filed in the Sacramento County Superior Court and the California Court of Appeal for the
19 Third Appellate District and in a Petition for Review to the California Supreme Court. See Exs.
20 A, G and H to Answer. The last reasoned rejection of the claim is the June 5, 1998 decision of
21 the state superior court, which rejected the challenges on a variety of grounds. See In re Arthur
22 Anderson, slip op. at 26, 29-37. Several of the omitted jury instructions have been the subject of
23 other claims in this petition, which the court has found to be without merit. See Claims 2, 7 and
24 8, supra. Counsel was not ineffective for failing to request, or to support a request for, the jury
25 instructions addressed in the discussion of those claims. With respect to the remaining
26 instructions, this court finds that petitioner has failed to show prejudice from counsel’s failure to

1 request any of the identified instructions. The superior court's decision is neither contrary to nor
2 an unreasonable application of clearly established federal law. This claim should be denied.

3 Claim 25: Youngblood Violation

4 By claim 25, petitioner contends that his due process rights were violated when
5 the prosecution failed to timely request a further analysis of petitioner's blood for intoxicating
6 substances after a presumptive test indicated the presence of cocaine in a sample of petitioner's
7 blood taken the day after the crimes. Petitioner presented this claim to the state courts in
8 petitions for writ of habeas corpus filed in the Sacramento County Superior Court and the
9 California Court of Appeal for the Third Appellate District and in a Petition for Review to the
10 California Supreme Court. See Exs. A, G and H to Answer. The last reasoned rejection of the
11 claim is the June 5, 1998 decision of the state superior court, which rejected the claim on the
12 grounds that petitioner had "failed to make a prima facie showing of 'bad faith' by the
13 prosecution," had "failed to establish that the prosecution was responsible for the alleged loss of
14 the evidence," and that "[t]he prosecution did not lose or destroy the evidence." Ex. H to Mar. 2,
15 2000 Answer, In re Arthur R. Anderson, No. 94F09998, slip op. at 28-29.

16 It is a violation of a criminal defendant's right to due process when law
17 enforcement agencies fail to preserve evidence that "possess[es] an exculpatory value that was
18 apparent before the evidence was destroyed and [is] of such a nature that the defendant would be
19 unable to obtain comparable evidence by other reasonably attainable means." California v.
20 Trombetta, 467 U.S. 479, 489, 104 S.Ct. 2528, 81 L.Ed.2d 413 (1984). Unless a criminal
21 defendant can show bad faith on the part of law enforcement, however, "failure to preserve
22 potentially useful evidence does not constitute a denial of due process." Arizona v. Youngblood,
23 488 U.S. 51, 58, 109 S.Ct. 333, 102 L.Ed.2d 281 (1988). "The presence or absence of bad faith
24 by [law enforcement] for purposes of the Due Process Clause must necessarily turn on the
25 police's knowledge of the exculpatory value of the evidence at the time it was lost or destroyed."
26 Id. at 56 n.*.

1 At trial, the parties stipulated that a blood sample was taken from petitioner
2 between 3:00 and 4:30 a.m. on August 25, 1990. RT at 3406. On August 27, 1990, Debra
3 Henry, a criminalist at the Sacramento County Crime Lab did a “presumptive screen called a
4 radioimmunoassay” on the blood sample, which came back positive for cocaine. Id. at 3396-97.
5 No further tests were requested at that time. Id. Ms. Henry performed a “confirmatory test” on
6 the sample about a week and a half before she testified at trial²⁴ and “was unable to confirm the
7 presence of cocaine.” Id. at 3398. In August 1990, when Ms. Henry performed the presumptive
8 screen, the Sacramento County Crime Lab did not perform confirmatory tests for cocaine. Id. at
9 3399. If such a test had been requested, she would have sent it to a lab in the Sacramento or
10 Oakland area. Id.

11 A portion of the sample was provided to petitioner’s defense. Id. at 3408. On
12 April 10, 1991, that portion was tested by Nancy Enkema, a toxicologist at Valley Toxicology in
13 West Sacramento. Id. at 3421-22. She did a “basic screen for major drugs of abuse” and “ran a
14 radioimmunoassay for the cocaine metabolite.” Id. at 3422. The result of that radiommunoassay
15 test was negative. Id.

16 Petitioner contends that the prosecution violated his right to due process by
17 “permitting the blood sample to deteriorate until no trace of cocaine remained before conducting
18 a confirmatory test for cocaine in the presence of the sample” and by “falsely assuring defense
19 counsel it would conduct a prompt confirmatory test to determine the presence of drugs in the
20 sample.” Amended Petition, at 67. The latter contention is predicated on a statement in the
21 declaration of Donald Moonshine, an attorney who assisted petitioner’s counsel on direct appeal.
22 See Declaration of Donald Moonshine, attached as Exhibit A to Petition for Writ of Habeas
23 Corpus filed in the Sacramento County Superior Court, a copy of which is attached as Exhibit A
24 to Mar. 2, 2000 Answer (hereafter Moonshine Declaration). In his declaration, Mr. Moonshine
25

26 ²⁴ Ms. Henry testified on December 30, 1992. CT at 474.

1 avers that “[i]n a letter dated October 1, 1990 from Mr. Masuda [petitioner’s trial counsel] to
 2 prosecutor Christopher Cleland, Mr. Masuda summarized a discussion he had had with Mr.
 3 Cleland. Mr. Cleland had informed Mr. Masuda he would have the blood analyzed for cocaine,
 4 alcohol, methamphetamines, barbiturates and ampicillin. Mr. Masuda had told Mr. Cleland he
 5 also wanted his own experts to analyze the blood and would make such a request in the ‘near
 6 future.’” Moonshine Declaration, at ¶ 11. The declaration does not indicate when the
 7 conversation memorialized in the October 1, 1990 letter took place, nor is a copy of the letter
 8 appended to the declaration.

9 Appended as Exhibit C to petitioner’s state superior court habeas corpus
 10 petition is a report from the Sacramento County Office of the District Attorney,
 11 Laboratory of Forensic Services, dated October 19, 1990, and signed by Debra Henry.
 12 The report reflects that on August 27, 1990, two samples of petitioner’s blood were
 13 removed “from the evidence locker at the Sheriff’s Sobriety testing station” and that a
 14 toxicology examination was performed, the results of which are reported as follows:

15 A presumptive test indicates the presence of cocaine, however,
 16 cocaine is not being confirmed in blood by the laboratory at this
 17 time. Amphetamines, opiates, and barbiturates were not detected
 18 in the blood sample.

18 Ex. C to Ex. A to Mar. 2, 2000 Answer.²⁵

19 While the court is unable to determine when the conversation between Mr.
 20 Masuda and Mr. Cleland referred to in Mr. Moonshine’s declaration took place, the record is
 21 clear that petitioner’s blood was tested on August 27, 1990 for amphetamines, barbiturates and
 22 opiates, with negative results, and that a test for alcohol was also negative. No further testing for
 23 those substances would have been warranted. The written report of the drug toxicology results is
 24 dated October 19, 1990, eighteen days after the date of the alleged letter from petitioner’s counsel

25 ²⁵ At trial, Ms. Henry confirmed that another report indicated that no alcohol had been
 26 detected in the blood sample; it is not clear when the blood was tested for alcohol. RT at 3405.

1 to the prosecutor. Thus, regardless of when the conversation between Mr. Masuda and Mr.
 2 Cleland occurred, there was no false representation by the prosecutor concerning further testing
 3 for those substances. Moreover, the Moonshine Declaration does not indicate that the prosecutor
 4 promised to do confirmatory testing for cocaine; it indicates only that the prosecutor told Mr.
 5 Masuda that petitioner's blood would be analyzed for cocaine. Petitioner's blood was analyzed
 6 for cocaine. There is no evidence to support petitioner's contention that the prosecution "falsely
 7 assur[ed] defense counsel it would conduct a prompt confirmatory test" for cocaine. Amended
 8 Petition at 67.

9 Petitioner's contention that his due process rights were violated when the
 10 prosecution "allowed" the sample to deteriorate without performing a confirmatory test is
 11 similarly without merit. The prosecution had no legal obligation to perform a confirmatory test
 12 for cocaine, see Mitchell v. Goldsmith, 878 F.2d 319, 322 (9th Cir. 1989) (citing Youngblood at
 13 338), and the prosecution provided a sample of petitioner's blood to his defense counsel when
 14 counsel made the request.²⁶

15 For all of the foregoing reasons, the state court's rejection of petitioner's
 16 Youngblood claim was neither contrary to nor an unreasonable application of clearly established
 17 federal law, nor was it based on an unreasonable determination of the facts. This claim should be
 18 denied.

19 Claim 27: Denial of Due Process, Fair Trial and Right to Jury Trial Because of Sleeping
 20 Juror

21 Petitioner's final claim is that his rights to due process, a fair trial, and to a jury
 22 trial of twelve competent jurors were violated because one of the jurors slept through material
 23

24 ²⁶ Moreover, contrary to petitioner's assertion, the record does not support the conclusion
 25 that the positive result of the radioimmunoassay gave rise to knowledge that petitioner's blood
 26 sample contained exculpatory evidence of cocaine intoxication. Debra Henry testified that
 "presumptive tests are not specific" and may be positive for a number of reasons other than the
 actual presence of cocaine in the blood. E.g., RT at 3409.

1 parts of the trial testimony. For the reasons set forth in the discussion of Claim 21, supra, this
2 claim is without merit. It should be denied.

3 In accordance with the above, IT IS HEREBY RECOMMENDED that
4 petitioner's application for a writ of habeas corpus be denied.

5 These findings and recommendations are submitted to the United States District
6 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within ten days
7 after being served with these findings and recommendations, any party may file written
8 objections with the court and serve a copy on all parties. Such a document should be captioned
9 "Objections to Magistrate Judge's Findings and Recommendations." The parties are advised that
10 failure to file objections within the specified time may waive the right to appeal the District
11 Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

12 DATED: August 10, 2009.

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15 UNITED STATES MAGISTRATE JUDGE
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